

BOS

From: BOS
Sent: Thursday, January 17, 2013 8:59 AM
To: CID
Cc: ISD; BOS
Subject: CT 775.510 Aaron Swartz (J-102-775-60071-S)

V. M. H.

U.S. SECRET SERVICE INVESTIGATIVE REPORT

FROM: BOSTON FIELD OFFICE
TO: CRIMINAL INVESTIGATIVE DIVISION
INFO: INVESTIGATIVE SUPPORT DIVISION
SUBJECT: REPORT OF JUDICIAL ACTION

FILE: J-102-775-60071-S
X-REF: N/A
SEIZURE#: N/A

ACTUAL LOSS: TBD

POTENTIAL LOSS: \$2,000,000.00

CASE TITLE: AARON SWARTZ
CASE TYPE: 775.510
SECONDARY TYPES: 848.191, 848.304, 848.930
CONTROLLING OFFICE: BOSTON FIELD OFFICE
REPORT MADE BY: SA (b)(6),(b)(7)(C) (b)(6),(b)(7)(C)
DATE CASE OPENED: 01/07/11
PREVIOUS REPORT: 09/21/12 - REPORT OF JUDICIAL ACTION
REPORTING PERIOD: 09/22/12 - 1/17/13
STATUS: CONTINUED

SYNOPSIS:

On 1/11/13, Aaron Swartz was found dead in his apartment in Brooklyn, NY as a result of an apparent suicide.

A suppression hearing in this had been scheduled for 1/25/13, with a trial date of 4/01/13, in U.S. District Court for the District of Massachusetts.

Case continued.

DETAILS OF INVESTIGATION:

Reference is made to all previous reports in this case, the most recent of which is the Report Judicial Action written by SA (b)(6),(b)(7)(C) on 09/21/12.

On 1/12/13, several open internet sources were reporting the death of Aaron Swartz. Detective (b)(6),(b)(7)(C) Cambridge, MA PD, contacted Detective (b)(6),(b)(7)(C) New York City PD (NYPD) - Precinct 71 to confirm Swartz' death. Detective (b)(6),(b)(7)(C) stated that at 19:35 hours on 1/11/13, it was reported to NYPD that Aaron Swartz had committed suicide. Detective (b)(6),(b)(7)(C) further stated he responded to (b)(6),(b)(7)(C) (b)(6),(b)(7)(C) (b)(6),(b)(7)(C) in Brooklyn, NY, 11225 and confirmed that Aaron Swartz was found dead of an apparent suicide. The reporting party was Swartz' girlfriend, (b)(6),(b)(7)(C) (b)(6),(b)(7)(C) (b)(6),(b)(7)(C) who resided with the Swartz at the apartment.

JUDICIAL ACTION:

A suppression hearing had been scheduled for 1/25/13 in U.S. District Court for the District of Massachusetts with a trial date of 4/01/13.

SUSPECTS / DEFENDANTS:

AARON R SWARTZ - DEFENDANT (FEDERAL)

1599: Yes (Entered 1/19/2011)
1599A: Yes (Entered 11/29/2011)

EXAMS CONDUCTED:

ECSAP: 05/20/11
Poly: N/A
FSD: N/A

DATABASE SEARCHES CONDUCTED:

MCI / CI: 01/07/11
NCIC/NLETS: 01/07/11
CCS/CFT: 01/07/11
LOCAL LE: 01/07/11

EVIDENCE / CONTRABAND / PERSONAL PROPERTY:

All evidence remains in the Boston Field Office Evidence Vault as previously reported.

DISPOSITION:

Case continued pending disposition of evidence.

USSS / BOSTON

(b)(6),(b)(7)(C)

RICCIARDI

JUDICIAL ACTION:

On 09/12/12, a superseding indictment was rendered in the United States District Court, District of Massachusetts, charging Aaron Swartz with violations of 18 U.S.C 1343 wire fraud; 18 U.S.C 1030 computer fraud, unlawfully obtaining information from a protected computer and recklessly damaging a protected computer; and 18 U.S.C 2 aiding and abetting.

SUSPECTS / DEFENDANTS:

AARON H SWARTZ - DEFENDANT
1599: Yes
1599A: Yes

XAMS CONDUCTED:

ECSAP: 05/20/11
Poly: N/A
ESD: N/A

DATABASE SEARCHES CONDUCTED:

MCI / CI: 01/07/11
NCIC/NLETS: 01/07/11
CCS/CFT: 01/07/11
LOCAL LE: 01/07/11

EVIDENCE / CONTRABAND / PERSONAL PROPERTY:

All remaining evidence is in the Boston Field Office Evidence Vault.

DISPOSITION:

Case continued pending further investigation and judicial action.

USSS/BOSTON

(b)(6),(b)(7)(C)

/ RICCIARDI

9/21/2012

BOS

From: BOS
 Sent: Tuesday, May 29, 2012 2:11 PM
 To: CID
 Cc: BOS; ISD
 Subject: CT 775.510 Aaron Swartz (J-102-775-60071-S)

U.S. SECRET SERVICE INVESTIGATIVE REPORT

FILE COPY

FROM: BOSTON FIELD OFFICE
 TO: CRIMINAL INVESTIGATIVE DIVISION
 INFO: INVESTIGATIVE SUPPORT DIVISION

FILE: J-102-775-60071-S
 X-REF: N/A
 SEIZURE#: N/A

SUBJECT: REPORT OF JUDICIAL ACTION

ACTUAL LOSS: TED

POTENTIAL LOSS: \$2,000,000.00

CASE TITLE: AARON SWARTZ
 CASE TYPE: 775.510
 SECONDARY TYPES: 848.191, 848.304, 848.930
 CONTROLLING OFFICE: BOSTON FIELD OFFICE
 REPORT MADE BY: SA (b) (b)(6) (b)(6)(b) (b)(6),(b)(7)(C)
 DATE CASE OPENED: 01/07/11
 PREVIOUS REPORT: 02/27/12 - Report of Continuing Investigation
 REPORTING PERIOD: 02/28/12 - 05/29/12
 STATUS: CONTINUED

BOSTON, MA

2012 MAY 29 P 2:20

RECEIVED
U.S. SECRET SERVICE

SYNOPSIS:

Judicial action continues in the case of the United States versus Aaron Swartz and the case of the Commonwealth of Massachusetts versus Aaron Swartz.

Case continued in Boston.

DETAILS OF INVESTIGATION:

Reference is made to all previous reports in this case, the most recent of which is the Report of Continuing Investigation written by SA (b)(6),(b) on 02/27/12.

Reference is made to the telephone conference between Assistant U.S. Attorney Stephen Heymann, SA (b)(6),(b) (b)(6),(b) and Detective (b)(6), (b)(6),(b) Cambridge Police Department on 05/15/12.

Reference is made to the email from Assistant District Attorney (b)(6) (b)(7)(C) to SA (b)(6),(b) (b)(6),(b) on 05/23/12.

JUDICIAL ACTION:

On 05/15/12, AUSA Heymann, Detective (b)(6), and SA (b)(6),(b) conducted a telephone conference call to discuss the progress of the case against Swartz. AUSA Heymann informed SA (b)(6),(b) and Detective (b)(6),(b) that the case was progressing and he expected judicial action at some time in the future.

SUSPECTS / DEFENDANTS:

AARON H SWARTZ - DEFENDANT

5/29/2012

1599: Yes
1599A: Yes

XAMS CONDUCTED:

ECSAP: 05/20/11
Poly: N/A
FSD: N/A

DATABASE SEARCHES CONDUCTED:

MCI / CI: 01/07/11
NCIC/NLETS: 01/07/11
CCS/CFT: 01/07/11
LOCAL LE: 01/07/11

Results of database searches have been reported under "Details of Investigation."

EVIDENCE / CONTRABAND / PERSONAL PROPERTY:

All remaining evidence is in the Boston Field Office Evidence Vault.

DISPOSITION:

Case continued pending further investigation and judicial action.

USSS/BOSTON

(b)(6),(b)(7)(C)

/ RICCIARDI

BOS

FILE COPY

From: BOS
Sent: Monday, February 27, 2012 6:01 PM
To: CID
Cc: ISD, BOS
Subject: CT 775.510 Aaron Swartz (102-775-60071-S)

U.S. SECRET SERVICE INVESTIGATIVE REPORT

FROM: BOSTON FIELD OFFICE
TO: CRIMINAL INVESTIGATIVE DIVISION
INFO: INVESTIGATIVE SUPPORT DIVISION
SUBJECT: REPORT OF CONTINUING INVESTIGATION

FILE: 102-775-60071-S
X-REF: N/A
SEIZURE#: N/A

ACTUAL LOSS: TBD

POTENTIAL LOSS: \$2,000,000.00

CASE TITLE: AARON SWARTZ
CASE TYPE: 775.510
SECONDARY TYPES: 848.191, 848.304, 848.930
CONTROLLING OFFICE: BOSTON FIELD OFFICE
REPORT MADE BY: SA (b)(6),(b)(7)(C)
DATE CASE OPENED: 01/07/11
PREVIOUS REPORT: 11/29/11 - Report of Continuing Investigation
REPORTING PERIOD: 11/30/11 - 02/27/11
STATUS: CONTINUED

SYNOPSIS:

Swartz was arraigned in the Middlesex County, Massachusetts Superior Court on two counts of breaking and entering in the daytime; one count of larceny for more than \$250.00; and three counts of unauthorized access to a computer.

Case continued in Boston.

DETAILS OF INVESTIGATION:

Reference is made to all previous reports in this case, the most recent of which is the Report of Continuing Investigation written by SA (b)(6),(b)(7)(C) on 11/29/11.

On 12/20/11, SA (b)(6),(b)(7)(C) Detective (b)(6),(b)(7)(C) Cambridge PD, and Detective (b)(6),(b)(7)(C) Boston PD (both of the New England Electronic Crimes Task Force - NEECTF) delivered a hard drive containing discovery information prepared by (b)(6),(b)(7)(C) of CERT to 20 Park Plaza in Boston, Massachusetts. The drive was signed for by (b)(6),(b)(7)(C) of Martin Weinberg P.C. The signed receipt by (b)(6),(b)(7)(C) will remain a permanent part of this case file.

On 01/31/12 (b)(6),(b)(7)(C) a courier for Martin Weinberg, signed for a Toshiba Portable Hard Drive containing discovery information also prepared by (b)(6),(b)(7)(C) at the Boston Field Office.

JUDICIAL ACTION:

On 11/30/11, Swartz was arraigned in Middlesex County, Massachusetts Superior Court on two counts of breaking and entering daytime, one count of larceny for more than \$250.00, and three counts of unauthorized access to a computer.

SUSPECTS / DEFENDANTS:

AARON H SWARTZ - DEFENDANT

1599:

Yes

1599A:

Yes

EXAMS CONDUCTED:

ECSAP: 05/20/11

Poly: N/A

FSD: N/A

DATABASE SEARCHES CONDUCTED:

MCI / CI:	01/07/11
NCIC/NLETS:	01/07/11
CCS/CFT:	01/07/11
LOCAL LE:	01/07/11

Results of database searches have been reported under "Details of Investigation".

EVIDENCE / CONTRABAND / PERSONAL PROPERTY:

All evidence remains in the Boston Field Office Evidence Vault.

DISPOSITION:

Case continued pending further investigation and judicial action.

USSS/BOSTON

(b)(6),(b)(7)(C)

/ RICCIARDI

BOS

From: BOS
 Sent: Tuesday, November 29, 2011 3:49 PM
 To: CID
 Cc: ISD; BOS
 Subject: 775.510 Aaron Swartz J-102-775-60071-S (Continued)

U.S. SECRET SERVICE INVESTIGATIVE REPORT

FROM: BOSTON FIELD OFFICE
 TO: CRIMINAL INVESTIGATIVE DIVISION
 INFO: INVESTIGATIVE SUPPORT DIVISION
 SUBJECT: REPORT OF CONTINUING INVESTIGATION

FILE: J-102-775-60071-S
 X-REF: N/A
 SEIZURE#: N/A

CASE TITLE: AARON SWARTZ
 CASE TYPE: 775.510
 SECONDARY TYPES: 848.191, 848.304, 848.930
 CONTROLLING OFFICE: BOSTON FIELD OFFICE
 REPORT MADE BY: SA (b)(6),(b)(7)(C) (b)(6),(b)(7)(C)
 DATE CASE OPENED: 01/07/11
 PREVIOUS REPORT: 08/01/11 - NOTIFICATION OF FEDERAL ARREST
 REPORTING PERIOD: 08/02/11 - 11/29/11
 STATUS: CONTINUED

BOSTON, MA

2011 NOV 29 P 3 51

RECEIVED
US SECRET SERVICE

SYNOPSIS:

In addition to the federal charges pending in this case, Aaron Swartz was also indicted in Suffolk County, MA Superior Court for state charges to include breaking and entering in the daytime with intent to commit a felony, larceny over \$250 and unauthorized access to a computer network.

Case continued in Boston.

DETAILS OF INVESTIGATION:

Reference is made to all previous reports in this case, the most recent of which is the Report of Continuing Investigation written by SA (b)(6),(b)(7)(C) dated 08/01/11.

On 11/21/11, Aaron Swartz, along with attorneys Martin Weinberg and (b)(6),(b)(7)(C) came to the Boston Field Office to review evidence in this case as part of the discovery process. After the review, items approved for release by AUSA Stephen Heymann were turned over to attorney Weinberg.

On 11/23/11, SA (b)(6),(b)(7)(C) turned over the Apple iMac model A1311 with serial number WB025AXGDE7 that was seized from Harvard University pursuant to a federal search warrant on 02/11/11 to Detective (b)(6),(b)(7)(C) of the Harvard University Police Department.

JUDICIAL ACTION:

On 11/17/11, Aaron Swartz was indicted in Suffolk Superior Court for two counts of breaking and entering in the daytime with intent to commit a felony, larceny over \$250, and three counts of unauthorized access to a computer network.

11/29/2011

On 11/25/11, Assistant District Attorney (b)(6)(b)(7)(C) for the Middlesex District Attorney's Office emailed SA (b)(6)(b)(7)(C) to inform him that he expected Swartz to be arraigned on 11/30/11.

SUSPECTS / DEFENDANTS:

SWARTZ, Aaron - DEFENDANT - ARRESTED (FEDERAL)

1599: Yes
1599A: Yes
PHOTO: Yes
PRINTS: Yes

(b)(6)(b)(7)(C) (b)(6)(b)(7)(C) - SUBJECT
1599: Yes
1599A: No

(b)(6)(b)(7)(C) (b)(6)(b)(7)(C) - SUBJECT
1599: Yes
1599A: No

EXAMS CONDUCTED:

ECSAP: 05/20/11
Polygraph: N/A
FSD: N/A

DATABASE SEARCHES CONDUCTED:

MCI / CI: 01/07/11
NCIC/NLETS: 01/07/11
CCS/CFT: 01/07/11
LOCAL LE: 01/07/11

EVIDENCE / CONTRABAND / PERSONAL PROPERTY:

EVIDENCE SSF 1544 S/N: 102 2011 CE 31
DATE OF INVENTORY: 02/11/11
INVENTORY MADE BY: SA (b)(6)(b)(7)(C)
DESCRIPTION OF ITEMS: Scientific Atlantic Modem SN# SM1565968
Apple Multi Adapter SN# 6F9395M7ZU6
Apple Multi Adapter SN# 6F7281NMU4S
Black Notebook Journal
Aaron Swartz
Boston Field Office Evidence Vault
Item 1 and 4 returned to Swartz's attorney, Martin Weinberg, on 11/21/11.

EVIDENCE SSF 1544 S/N: 102 2011 CE 32
DATE OF INVENTORY: 02/11/11
INVENTORY MADE BY: SA (b)(6)(b)(7)(C)
DESCRIPTION OF ITEMS: Harvard University Earning Statement
Earning Statement Addressed to Aaron Swartz
Master's Thesis M-875 Lind
Western Digital Mail-in Rebate Form
SEIZED / OBTAINED FROM: Aaron Swartz
LOCATION: Boston Field Office Evidence Vault
DISPOSITION: Items 1 - 5 returned to Swartz's attorney, Martin Weinberg, on 11/21/11.

11/29/2011

EVIDENCE SSF 1544 S/N:

DATE OF INVENTORY:

INVENTORY MADE BY:

DESCRIPTION OF ITEMS:

102 2011 CE 33

02/11/11

SA (b)(6),(b)

Wireless-G 2.4 GHz Broadband Router Linksys

MacBook Mac OSX Install Disc one and two

MacBook User Guide in Gray Cardboard case

Apple Care Service Letter Dispatch R4276400

Genius Bar Work Confirmation

Aaron Swartz

Boston Field Office Evidence Vault

Item 1 returned to Swartz's attorney, Martin Weinberg, on 11/21/11.

SEIZED / OBTAINED FROM:

LOCATION:

DISPOSITION:

11/29/2011

EVIDENCE SSF 1544 S/N:
 DATE OF INVENTORY:
 INVENTORY MADE BY:
 DESCRIPTION OF ITEMS:

102 2011 CE 35
 02/11/11
 SA (b)(6),(b)
 Metallic Blue iPod
 White iPod with carrying case
 White iPod with serial number 8A63308S6UX8A
 White iTalk
 Black 16GB Thumb-drive
 Aaron Swartz
 Boston Field Office Evidence Vault
 Items 1 - 5 returned to Swartz's attorney, Martin Weinberg, on 11/21/11.

SEIZED / OBTAINED FROM:
 LOCATION:
 DISPOSITION:

EVIDENCE SSF 1544 S/N:
 DATE OF INVENTORY:
 INVENTORY MADE BY:
 DESCRIPTION OF ITEMS:

102 2011 CE 36
 02/11/11
 SA (b)(6),(b)
 Fifty-four miscellaneous compact discs
 Two hard drive enclosures
 T-Mobile Sidekick
 Sony Micro Vault
 Aaron Swartz
 Boston Field Office Evidence Vault
 39 CDs returned to Swartz's attorney, Martin Weinberg, on 11/21/11.

SEIZED / OBTAINED FROM:
 LOCATION:
 DISPOSITION:

EVIDENCE SSF 1544 S/N:
 DATE OF INVENTORY:
 INVENTORY MADE BY:
 DESCRIPTION OF ITEMS:

102 2011 CE 37
 02/11/11
 SA (b)(6),(b)
 DVD-R with handwritten label
 Pocket notebook
 Pure Drive Quick start guide
 Disk utility internal hard drive upgrade kit
 Seagate Barracuda Hard Drive Installation Guide
 Aaron Swartz
 Boston Field Office Evidence Vault
 Items 3 - 5 returned to Swartz's attorney, Martin Weinberg, on 11/21/11.

SEIZED / OBTAINED FROM:
 LOCATION:
 DISPOSITION:

EVIDENCE SSF 1544 S/N:
 DATE OF INVENTORY:
 INVENTORY MADE BY:
 DESCRIPTION OF ITEMS:

102 2011 CE 38
 02/11/11
 SA (b)(6),(b)(7)
 Apple iMac Model A1311 serial number WB025AXGD87
 Western Digital Hard Drive SN WMANN1006724
 Aaron Swartz
 Boston Field Office Evidence Vault
 Item 1 turned over to Harvard Univ. PD Det. (b)(6),(b)
 (b)(6),(b) on 11/23/11.

SEIZED / OBTAINED FROM:
 LOCATION:
 DISPOSITION:

EVIDENCE SSF 1544 S/N:
 DATE OF INVENTORY:
 INVENTORY MADE BY:
 DESCRIPTION OF ITEMS:
 SEIZED / OBTAINED FROM:
 LOCATION:
 DISPOSITION:

102 2011 CE 81
 02/25/11
 SA (b)(6),(b)(c)
 16 CD contained in a mailing envelope
 Aaron Swartz
 Martin Weinberg P.C.
 Returned to Swartz's attorney, Martin Weinberg, on 11/21/11.

DISPOSITION:

11/29/2011

Case continued pending further investigation and judicial action.

USSS/BOSTON

(b)(6),(b)(7)(C)

RICCIARDI

11/29/2011

BOS

From: BOS
Sent: Monday, August 01, 2011 1:38 PM
To: CID
Cc: ISD: BOS
Subject: 775.510 Notification of Federal Arrest - Aaron Swartz (J-102-775-60071-S)

Vilch
mb

U.S. SECRET SERVICE INVESTIGATIVE REPORT

FROM: BOSTON FIELD OFFICE
TO: CRIMINAL INVESTIGATIVE DIVISION
INFO: INVESTIGATIVE SUPPORT DIVISION
FILE: J-102-775-60071-S
X-REF: N/A
SEIZURE#: N/A

SUBJECT: NOTIFICATION OF FEDERAL ARREST
AARON SWARTZ - Date of Arrest: 07/19/11

FILE COPY

ACTUAL LOSS: \$TBD

POTENTIAL LOSS: \$2,000,000.00

FEDERAL STATUTES VIOLATED - TITLE 18 UNITED STATES CODE
SECTION 1343 - WIRE FRAUD
SECTION 1030(a)(4) - COMPUTER FRAUD
SECTION 1030(a)(2) - THEFT OF INFORMATION FROM A COMPUTER
SECTION 1030(a)(5)(B) - RECKLESSLY DAMAGING A COMPUTER
SECTION 2 - AIDING AND ABETTING

CASE TITLE: AARON SWARTZ
CASE TYPE: 775.510
SECONDARY TYPES: 848.191, 848.304, 848.930
CONTROLLING OFFICE: BOSTON FIELD OFFICE
REPORT MADE BY: SA (b)(6),(b)(7)(C) (b)(6),(b)(7)(C)
DATE CASE OPENED: 01/07/11
PREVIOUS REPORT: 06/29/11 - REPORT OF CONTINUING INVESTIGATION
REPORTING PERIOD: 06/30/11 - 08/01/11
STATUS: CONTINUED

2011

SYNOPSIS:

Aaron Swartz was indicted in U.S. District Court for the District of Massachusetts for violations of Title 18 United States Code, Sections 1343 - Wire Fraud; 1030(a)(4) - Computer Fraud; 1030(a)(2) - Theft of Information From a Computer; 1030(a)(5)(B) - Recklessly Damaging a Computer; and Section 2 - Aiding and Abetting.

On 07/19/11, Swartz surrendered to federal authorities and was arraigned before Magistrate Judge Judith G. Dein.

Case continued in Boston.

DETAILS OF INVESTIGATION:

Reference is made to all previous reports in this case, the most recent of which is the Report of Continuing Investigation written by SA (b)(6),(b)(7)(C) on 06/29/11.

JUDICIAL ACTION:

On 07/14/11, Swartz was indicted in U.S. District Court for the District of Massachusetts for violations of Title 18 United States Code, Sections 1343 - Wire Fraud; 1030(a)(4) - Computer Fraud; 1030(a)(2) - Theft of Information From a Computer; 1030(a)(5)(B) - Recklessly Damaging a Computer; and 2 - Aiding and Abetting.

On 07/19/11, Swartz surrendered to federal authorities and was arraigned before Magistrate Judge Judith G. Dein. Swartz was released on \$100,00.00 bond.

SUSPECTS / DEFENDANTS:

SWARTZ, Aaron - DEFENDANT - ARRESTED (FEDERAL)
1599: Yes
1599A: No

(b)(6),(b)(7)(C) (b)(6),(b)(7)(C) - SUBJECT
1599: Yes
1599A: No

(b)(6),(b)(7)(C) SUBJECT
1599: Yes
1599A: No

EXAMS CONDUCTED:

ECSAP: 05/20/11
Poly: N/A
FSD: N/A

DATABASE SEARCHES CONDUCTED:

MCI / CI: 01/07/11
NCIC/NLETS: 01/07/11
CCS/CFT: 01/07/11
LOCAL LE: 01/07/11

EVIDENCE / CONTRABAND / PERSONAL PROPERTY:

All evidence remains secured in the Boston Field Office Evidence vault as previously reported.

DISPOSITION:

Case continued pending further investigation and judicial action.

USSS / BOSTON

(b)(6),(b)(7)(C) / RICCIARDI

On 04/13/11, SA (b)(6),(b)(7) Detective (b)(6),(b) and AUSA Heyman interviewed (b)(6),(b)(7)(C) at the U.S. Attorney's office at 1 Courthouse Way, Boston, Massachusetts. Also present were (b)(6) (b)(6),(b) and (b)(6),(b)(7)(C) both attorneys with fish and Richardson. The details of the interview are described in a Memorandum of Interview written by SA (b)(6),(b)(7)(C). A copy of that MOI will be maintained in this case folder.

On 05/12/11, SA (b)(6),(b)(7)(C) and Detective (b)(6),(b) interviewed (b)(6),(b)(7)(C) at the Free Software Foundation at 51 Franklin Street, 5th Floor, Boston Massachusetts. The details of the interview are described in a Memorandum of Interview written by Detective (b)(6),(b)(7)(C). A copy of the MOI will be maintained in this case folder.

On 06/07/11, 4 Samsung Hard Drives were turned over to SA (b)(6),(b)(7)(C) at the offices of Good and Cormier located at 83 Atlantic Avenue in Boston Massachusetts.

SUSPECTS / DEFENDANTS:

SWARTZ, Aaron H. - SUSPECT

1599: Yes

1599A: No

(b)(6),(b)(7)(C) - SUBJECT

1599: No

1599A: No

(b)(6),(b)(7)(C) - SUBJECT

1599: No

1599A: No

EXAMS CONDUCTED:

ECSAP: Ongoing

Poly: N/A

FSD: N/A

DATABASE SEARCHES CONDUCTED:

MCI / CI: 01/07/11

NCIC/NLETS: 01/07/11

CCS/CFT: 01/07/11

LOCAL LE: 01/07/11

EVIDENCE / CONTRABAND / PERSONAL PROPERTY:

EVIDENCE SSF 1544 S/N: 102 2011 CE 81
DATE OF INVENTORY: 02/25/11
INVENTORY MADE BY: SA (b)(6),(b)(7)(C)
DESCRIPTION OF ITEMS: 16 CD contained in a mailing envelope
SEIZED / OBTAINED FROM: Aaron Swartz
LOCATION: Boston Field Office Evidence Vault
DISPOSITION: Held pending judicial action.

EVIDENCE SSF 1544 S/N: 102 2011 CE 82
DATE OF INVENTORY: 02/25/11
INVENTORY MADE BY: SA (b)(6),(b)(7)(C)
DESCRIPTION OF ITEMS: Galaxy Metal Gear Box External Hard Drive
SEIZED / OBTAINED FROM: Aaron Swartz
LOCATION: Boston Field Office Evidence Vault
DISPOSITION: Held pending judicial action.

EVIDENCE SSF 1544 S/N: 102 2011 CE 119
DATE OF INVENTORY: 06/07/11
INVENTORY MADE BY: SA (b)(6),(b)(7)(C)

DESCRIPTION OF ITEMS: 4 Samsung Hard Drives
SEIZED / OBTAINED FROM: Aaron Swartz
LOCATION: Boston Field Office ECSAP Vault
DISPOSITION: Held pending judicial action.

All other evidence remains in the Boston Field Office Evidence vault as previously reported.

DISPOSITION:

Case continued pending further investigation and judicial action.

USSS / BOSTON

(b)(6),(b)(7)(C)

RICCIARDI

(BOS)

//ROUTINE//

SUBJECT: REPORT OF IOD

CASE TITLE: AARON SWARTZ
CASE TYPE: 775.510
SECONDARY TYPES: 848.191, 848.304, 848.930
CONTROLLING OFFICE: BOSTON FIELD OFFICE
REPORT MADE BY: SA (b)(6) (b)(6) (b)(6) (b)(6) (b)(7)(C)
DATE CASE OPENED: 02/28/11
PREVIOUS REPORT: N/A
REPORTING PERIOD: 02/28/11 - 06/28/11
STATUS: CLOSED

1599A:
PHOTO:
PRINTS:
POB:
DL/STATE:
ADDRESS:
EMAIL:

No
No

(b)(6),(b)(7)(C)

DATABASE CHECKS: 02/24/11

EXAMS CONDUCTED:

None.

DATABASE SEARCHES CONDUCTED:

None

EVIDENCE / CONTRABAND / PERSONAL PROPERTY:

None

DISPOSITION:

Case closed in San Francisco.

USSS/SAN FRANCISCO/jd

(b)(6),(b)(7)(C)

and 9,472 editorials.

On 02/11/11, SA (b)(6),(b)(7)(C) ATSAIC (b)(6),(b)(7)(C) SA (b)(6),(b)(7)(C) SA (b)(6),(b)(7)(C) and Detective (b)(6),(b)(7)(C) executed a federal search warrant on Swartz's residence located at 950 Massachusetts Avenue, Apartment 320, Cambridge, Massachusetts. Swartz was home at the time the search was executed. While the search was conducted, Swartz made statements to the effect of, what took you so long, and why didn't you do this earlier? The search team seized several items described in greater detail in the Evidence section of this report. After completing the search of Apartment 320, the search team returned to the first floor to search the storage locker allocated to apartment 320. While on the first floor, SA (b)(6),(b)(7)(C) observed Swartz leave the building, walk to the street and sprint away after he reached the street. After ascertaining that none of the items in the storage locker belonged to Swartz, the search team moved to 124 Mount Auburn Street in Cambridge, Massachusetts where the Harvard University, Edmond J Safra Center for Ethics is located and Swartz is listed as a lab fellow. The search team observed Swartz at the Safra Center for Ethics. Harvard University Police secured Swartz's office while a federal search warrant was obtained. After the search warrant was obtained, the team searched Swartz's office at the Safra Center. SA (b)(6),(b)(7)(C) seized an Apple iMac computer and a Western Digital hard drive from Swartz's office.

On 02/25/11, Detective (b)(6),(b)(7)(C) received the Acer Aspire netbook, hard drive enclosure, Western Digital hard drive contained in the enclosure, and the USB flash drive from Cambridge Police (b)(6),(b)(7)(C) (b)(6),(b)(7)(C) Detective (b)(6),(b)(7)(C) took the evidence items directly to the ECSAP Lab Evidence Vault.

SUSPECTS/DEFENDANTS:

SWARTZ, Aaron H - SUSPECT

1599: Yes
1599A: No

(b)(6),(b)(7)(C) - SUBJECT

AKA: (b)(6),(b)(7)(C)
RACE: (b)(6),(b)(7)(C)
SEX: (b)(6),(b)(7)(C)
DOB: (b)(6),(b)(7)(C)
SSN: (b)(6),(b)(7)(C) - 6047
FBI: (b)(6),(b)(7)(C)
SID: (b)(6),(b)(7)(C)
HT: (b)(6),(b)(7)(C)
WT: (b)(6),(b)(7)(C)
EYES: (b)(6),(b)(7)(C)
HAIR: (b)(6),(b)(7)(C)
1599: No
1599A: No
PHOTO: No
PRINTS: No
POB: (b)(6),(b)(7)(C)
DL/STATE: (b)(6),(b)(7)(C)
ADDRESS: (b)(6),(b)(7)(C)
EMAIL: (b)(6),(b)(7)(C)

DATABASE CHECKS: 02/24/11

(b)(6),(b)(7)(C) - SUBJECT

AKA: N/A
RACE: (b)(6),(b)(7)(C)

SEX: (b)(6) (b)(7)(C)
 DOB: (b)(6) (b)(7)(C)
 SSN: (b)(6) (b)(7)(C) 5939
 FBI: N/A
 SID: N/A
 HT: N/A
 WT: N/A

(b)(6) (b)(7)(C)

1599: No
 1599A: No
 PHOTO: No
 PRINTS: No

POB:

DL/STATE:

ADDRESS:

(b)(6) (b)(7)(C)

EMAIL:

DATABASE CHECKS: 02/24/11

EXAMS CONDUCTED:

ECSAP: Ongoing
 Poly: N/A
 FSD: N/A

DATABASE SEARCHES CONDUCTED:

MCI / CI: 01/07/11
 NCIC/NLETS: 01/07/11
 CCS/CFT: 01/07/11
 LOCAL LE: 01/07/11

EVIDENCE / CONTRABAND / PERSONAL PROPERTY:

EVIDENCE SSF 1544 S/N: 102 2011 CE 31
 DATE OF INVENTORY: 02/11/11
 INVENTORY MADE BY: SA (b)(6) (b)(7)(C)
 DESCRIPTION OF ITEMS: Scientific Atlantic Modem SN# SM1565968
 Apple Multi Adapter SN# 6F9395M7ZU6
 Apple Multi Adapter SN# 6F7281NNU4S
 Black Notebook Journal
 SEIZED / OBTAINED FROM: Aaron Swartz
 LOCATION: Boston Field Office Evidence Vault
 DISPOSITION: Held pending judicial action.

EVIDENCE SSF 1544 S/N: 102 2011 CE 32
 DATE OF INVENTORY: 02/11/11
 INVENTORY MADE BY: SA (b)(6) (b)(7)(C)
 DESCRIPTION OF ITEMS: Harvard University Earning Statement
 Earning Statement Addressed to Aaron Swartz
 Master's Thesis M-876 Lind
 Western Digital Mail-in Rebate Form

SEIZED / OBTAINED FROM: Aaron Swartz
 LOCATION: Boston Field Office Evidence Vault
 DISPOSITION: Held pending judicial action.

EVIDENCE SSF 1544 S/N: 102 2011 CE 33

DATE OF INVENTORY: 02/11/11
 INVENTORY MADE BY: SA (b)(6)(7)
 DESCRIPTION OF ITEMS: Wireless-G 2.4 GHz Broadband Router Linksys
 MacBook Mac OSX Install Disc one and two
 MacBook User Guide in Gray Cardboard case
 Apple Care Service Letter Dispatch R4276408
 Genius Bar Work Confirmation

SEIZED / OBTAINED FROM: Aaron Swartz
 LOCATION: Boston Field Office Evidence Vault
 DISPOSITION: Held pending judicial action.

EVIDENCE SSF 1544 S/N: 102 2011 CE 34
 DATE OF INVENTORY: 02/11/11
 INVENTORY MADE BY: SA (b)(6)(7)
 DESCRIPTION OF ITEMS: Nokia Cell Phone
 T-Mobile, HTC G2 cell phone
 12 Magnetic Media Tapes

SEIZED / OBTAINED FROM: Aaron Swartz
 LOCATION: Boston Field Office Evidence Vault
 DISPOSITION: Held pending judicial action.

EVIDENCE SSF 1544 S/N: 102 2011 CE 35
 DATE OF INVENTORY: 02/11/11
 INVENTORY MADE BY: SA (b)(6)(7)
 DESCRIPTION OF ITEMS: Metallic Blue iPod
 White iPod with carrying case
 White iPod with serial number 8A6330856UX8A
 White iTalk
 Black 16GB Thumb-drive

SEIZED / OBTAINED FROM: Aaron Swartz
 LOCATION: Boston Field Office Evidence Vault
 DISPOSITION: Held pending judicial action.

EVIDENCE SSF 1544 S/N: 102 2011 CE 36
 DATE OF INVENTORY: 02/11/11
 INVENTORY MADE BY: SA (b)(6)(7)
 DESCRIPTION OF ITEMS: Fifty-four miscellaneous compact discs
 Two hard drive enclosures
 T-Mobile Sidekick
 Sony Micro Vault

SEIZED / OBTAINED FROM: Aaron Swartz
 LOCATION: Boston Field Office Evidence Vault
 DISPOSITION: Held pending judicial action.

EVIDENCE SSF 1544 S/N: 102 2011 CE 37
 DATE OF INVENTORY: 02/11/11
 INVENTORY MADE BY: SA (b)(6)(7)
 DESCRIPTION OF ITEMS: DVD-R with handwritten label
 Pocket notebook
 Pure Drive Quick start guide
 Disk utility internal hard drive upgrade kit
 Seagate Barracuda Hard Drive Installation Guide

SEIZED / OBTAINED FROM: Aaron Swartz
 LOCATION: Boston Field Office Evidence Vault
 DISPOSITION: Held pending judicial action.

EVIDENCE SSF 1544 S/N: 102 2011 CE 38
 DATE OF INVENTORY: 02/11/11

NEW ENGLAND ECTF CASE OPENING SHEET

TITLE: AARON SWARTZ

FILE NUMBER: 102-775-60071

NCIC: 01/07/11 QH 01/07/11 QR 01/07/11 STATE: 01/07/11 BOP: 01/07/11

SA ASSIGNED: (b)(6),(b)(7)(C) DATE ASSIGNED: 01/04/11

PRIMARY CASE TYPE: 775. ⁵¹⁰ ~~400~~ Unauthorized Access LOCATION: Cambridge, MA

SECONDARY CASE: 848.191; 848.304; 848.930

FRAUD LOSS: ACTUAL: STBD POTENTIAL: \$50,000.00

ELECTRONIC BANKING/CONSUMER ELECTRONIC FUNDS TRANSFER:
ATM _____ DIRECT DEPOSIT _____ PAY-BY-PHONE _____
COMPUTER BANKING _____ POINT OF SALE TRANSFER _____

COMPUTER FRAUD:
FED _____ STATE _____ PRIVATE X _____

TELECOMMUNICATIONS/WIRE FRAUD:
CELL _____ WIRE X _____ PAGER _____ CREDIT CALLING CARDS _____

ACCESS DEVICE:
FINANCIAL _____ PERSONAL _____ ELECTRONIC _____

IDENTIFICATION/SOFTWARE PROLIFERATION:
SOFTWARE DISTRIBUTION _____ PLANT/PRODUCTION _____

ECTF MEMBER

_____ AGENCY _____ CHARGE

CRS INITIAL / DATE _____
ASSET FORFEITURE POTENTIAL REVIEW

APPROVED

(b)(6)(9)(7)(C)

ATSAIC SIGNATURE 

CERTIFIED INVENTORY OF EVIDENCE

SERIAL NUMBER
102 2011 CE 000038

EVIDENCE HELD AGAINST

☐ SPECIMEN

CASE NO.

102-775-0060071-8

SWARTZ AARON

OFFICE

BOSTON FIELD OFFICE

(b)(6),(b)(7)(C)

DATE OF INVENTORY

02/11/11

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PAGES

SIGNATURE - SPECIAL AGENT

DATE

(b)(6),(b)(7)(C)

REVIEWING SUPERVISOR

(b)(6),(b)(7)(C)

SIGNATURE - WITNESS

DATE

SIGNATURE

DATE

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SIGNATURE - FORENSIC SERVICES DIVISION

DATE

ITEM NO.	DATE RECEIVED	QUANTITY	DESCRIPTION OF EVIDENCE	VALUE
1	02/11/11	1	THE BELOW LISTED ITEMS WERE SEIZED PURSUANT TO A FEDERAL SEARCH WARRANT ON 2/11/11 AT 124 MOUNT AUBURN STREET, SUITE 520N, OFFICE 504, CAMBRIDGE, MA. THESE ITEMS HAVE BEEN MARKED AND DATED "MP 2/11/11" IDENTIFYING SA (b)(6),(b)(7)(C) OF THE BOSTON FIELD OFFICE.	
			APPLE IMAC, MODEL A1311	
			SERIAL NUMBERS:	
			WB025AKGD87	\$800.00
2	02/11/11	1	WESTERN DIGITAL HARD DRIVE, MODEL WD1200	
			SERIAL NUMBERS:	
			WUAMN1006724	\$50.00
TOTAL				\$860.00

UNITED STATES SECRET SERVICE

FINAL JAB

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SSF 1544 (03/06)

casefile

If this inventory consists of more than one page, document the Chain of Custody Log on the reverse of the FINAL CONTINUATION SHEET.

UNITED STATES SECRET SERVICE

CERTIFIED INVENTORY OF EVIDENCE

SERIAL NUMBER
102 2011 CE 000031

EVIDENCE HELD AGAINST

☐ SPECIMEN

CASE NO

102-775-0060071-8

SWARTZ AARON

OFFICE

BOSTON FIELD OFFICE

(b)(6),(b)(7)(C)

DATE OF INVENTORY

02/11/11

PAGE

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OF

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PAGES

SIGNATURE - SPECIAL AGENT

DATE

(b)(6),(b)(7)(C)

(b)(6),(b)(7)(C)

SIGNATURE - WITNESS

DATE

SIGNATURE

DATE

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SIGNATURE - FORENSIC SERVICES DIVISION

DATE

ITEM NO	DATE RECEIVED	QUANTITY	DESCRIPTION OF EVIDENCE	VALUE
			<p>THE BELOW LISTED ITEMS WERE SEIZED PURSUANT TO A FEDERAL SEARCH WARRANT ON 2/11/11 AT 950 MASSACHUSETTS AVE, APT# 320 BOSTON, MA.</p> <p>THESE ITEMS ARE MARKED "AS 2/11/11" FOR IDENTIFICATION. AS IDENTIFIES SA (b)(6) (b)(6) BOSTON FIELD OFFICE.</p>	
✓ 1	02/11/11	1	SCIENTIFIC ATLANTIC MODEM, BLACK, WITH POWER CORD, S/N # SM1565968, LOCATED IN CORNER OF LIVING ROOM	\$20.00
2	02/11/11	1	APPLE MULTI ADAPTER, WHITE, S/N # 6F9395M7ZUG, LOCATED IN CORNER OF LIVING ROOM	\$20.00
3	02/11/11	1	APPLE MULTI ADAPTER, WHITE, S/N # 6F7281HNU48, LOCATED IN BEDROOM	\$20.00
✓ 4	02/11/11	1	BLACK NOTEBOOK JOURNAL, UNLINED LOCATED IN BEDROOM	NO VALUE
TOTAL				\$60.00

UNITED STATES SECRET SERVICE

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SSF 1644 (03/98)

FINAL JAB

Case file

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UNITED STATES SECRET SERVICE

CERTIFIED INVENTORY OF EVIDENCE

SERIAL NUMBER
102 2011 CE 000082

EVIDENCE HELD AGAINST

☐ SPECIMEN

CASE NO.

102-775-0060071-8

SWARTZ AARON

OFFICE

BOSTON FIELD OFFICE

(b)(6),(b)(7)(C)

2/11/11

DATE OF INVENTORY

02/11/11

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SIGNATURE - SPECIAL AGENT

DATE

(b)(6),(b)(7)(C)

2-11-11

SIGNATURE - WITNESS

DATE

(b)(6),(b)(7)(C)

SIGNATURE

2/11/11
DATE

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SIGNATURE - FORENSIC SERVICES DIVISION

DATE

ITEM NO.	DATE RECEIVED	QUANTITY	DESCRIPTION OF EVIDENCE	VALUE
1	02/11/11	1	<p>THE BELOW LISTED ITEMS WERE SEIZED PURSUANT TO A FEDERAL SEARCH WARRANT ON 2/11/11 AT 950 MASSACHUSETTS AVE, APT #320, BOSTON, MA.</p> <p>THIS ITEM HAS BEEN MARKED "MP 2/11/11" IDENTIFYING SA (b)(6),(b)(7)(C) OF THE SFO.</p> <p>GALAXY METAL GEAR BOX EXTERNAL HARD DRIVE</p>	\$50.00
TOTAL				\$50.00

UNITED STATES SECRET SERVICE

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SSF 1544 (03/06)

FINAL JAB

Casefile

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UNIFIED STATES MARINE SERVICE

CERTIFIED INVENTORY OF EVIDENCE

SERIAL NUMBER
102 2011 CB 000034

EVIDENCE HELD AGAINST

☐ SPECIMEN

CASE NO.

102-775-0060071-S

SWARTZ AARON

OFFICE

BOSTON FIELD OFFICE

(b)(6),(b)(7)(C)

DATE OF INVENTORY

02/11/11

PAGE 1 OF 2 PAGES

SIGNATURE - SPECIAL AGENT

(b)(6),(b)(7)(C)

2/11/11
DATE

REVIEWING SUPERVISOR

(b)(6),(b)(7)(C)

SIGNATURE - WITNESS

2/11/11
DATE

SIGNATURE

2/11/11
DATE

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SIGNATURE - FORENSIC SERVICES DIVISION

DATE

ITEM NO.	DATE RECEIVED	QUANTITY	DESCRIPTION OF EVIDENCE	VALUE
			<p>THE BELOW LISTED ITEMS WERE SEIZED PURSUANT TO A FEDERAL SEARCH WARRANT AT 950 MASSACHUSETTS AVE. APT# 320, BOSTON, MA., ON 2/11/11. THESE ITEMS ARE MARKED "AS 2/11/11" IDENTIFYING BY (b)(6),(b)(7)(C) OF THE BOSTON FIELD OFFICE.</p>	
1	02/11/11	1	NOKIA CELL PHONE W/POWER CORD, BLACK IN COLOR, AT&T BRAND, LOCATED IN THE BEDROOM OF THE RESIDENCE.	NO VALUE
2	02/11/11	1	T MOBILE, ETC G2 CELL PHONE W/POWER CORD, LOCATED IN THE BEDROOM OF THE RESIDENCE.	NO VALUE
3	02/11/11	12	(12) MAGNETIC MEDIA TAPES IN A FEDEX BOX, LOCATED IN THE LIVINGROOM CLOSET OF THE RESIDENCE.	NO VALUE
TOTAL				NO VALUE

UNITED STATES SECRET SERVICE

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FINAL JAB

SSF 1544 (03/00)

Amsefeli

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UNITED STATES SECRET SERVICE

CERTIFIED INVENTORY OF EVIDENCE

SERIAL NUMBER
102 2011 CE 000039

EVIDENCE HELD AGAINST

☐ SPECIMEN

CASE NO.

102-775-0060071-S

SWARTZ AARON

OFFICE

BOSTON FIELD OFFICE

EV(b)(6),(b)(7)(C)

DATE OF INVENTORY

02/25/11

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REVIEWING SUPERVISOR

(b)(6),(b)(7)(C)

2/25/11

DATE

SIGNATURE - SPECIAL AGENT

(b)(6),(b)(7)(C)

DATE

2/25/11

SIGNATURE - WITNESS

DATE

SIGNATURE

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SIGNATURE - FORENSIC SERVICES DIVISION

DATE

ITEM NO.	DATE RECEIVED	QUANTITY	DESCRIPTION OF EVIDENCE	VALUE
1	02/25/11	1	THE BELOW LISTED EVIDENCE WAS RETRIEVED FROM CAMBRIDGE, MA POLICE DEPARTMENT ON 2/25/11. THESE ITEMS HAVE BEEN MARKED AND DATED "MP 2/25/11" IDENTIFYING SA (b)(6),(b)(7)(C) OF THE BOSTON FIELD OFFICE. ACER ASPIRE ONE MODEL 532H SERIAL NUMBERS: LUSAK00010011001E16 01	\$200.00
2	02/25/11	1	ROCKETFISH ENCLOSURE KIT CONTAINING ONE WESTERN DIGITAL 2TB HARD DRIVE SERIAL NUMBERS: WDAZAL526675	\$85.00
3	02/25/11	1	HP USB DRIVE MARKED 00456MXT1 85102	\$10.00
TOTAL				\$295.00

CHAIN OF CUSTODY LOG

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[illegible]

CERTIFIED INVENTORY OF EVIDENCE

SERIAL NUMBER

102 2011 CE 000033

EVIDENCE HELD AGAINST

☐ SPECIMEN

CASE NO.

102-775-0060071-3

SWARTZ AARON

OFFICE

BOSTON FIELD OFFICE

EVI (b)(6),(b)(7)(C)

DATE OF INVENTORY

02/11/11

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SIGNATURE - SPECIAL AGENT

DATE

(b)(6),(b)(7)(C)

REVIEWING SUPERVISOR

(b)(6),(b)(7)(C)

SIGNATURE - WITNESS

DATE

SIGNATURE

DATE

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SIGNATURE - FORENSIC SERVICES DIVISION

DATE

ITEM NO	DATE RECEIVED	QUANTITY	DESCRIPTION OF EVIDENCE	VALUE
			<p>THE BELOW LISTED ITEMS WERE SEIZED PURSUANT TO A FEDERAL SEARCH WARRANT ON 2/11/11 AT 950 MASSACHUSETTS AVE, APT #320, BOSTON, MA.</p> <p>THESE ITEMS ARE MARKED "AS 2/11/11" FOR IDENTIFICATION PURPOSES. AS IDENTIFIES SA (b)(6),(b)(7)(C) BOSTON FIELD OFFICE.</p>	
1	02/11/11	1	WIRELESS-G 2.4 GHz BROADBAND ROUTER LINKSYS; BLUE AND BLACK, S/N # CDTC1G659626 LOCATED IN THE LIVING ROOM	\$30.00
2	02/11/11	1	MACBOOK MAC OSX INSTALL DISC 1 AND 2, GRAY, IN PAPER SLEEVE, LOCATED IN THE LIVING ROOM	\$10.00
3	02/11/11	1	MACBOOK USER GUIDE IN GRAY CARDBOARD CASE, S/N # 2V09082006, LOCATED IN THE LIVING ROOM	\$10.00
4	02/11/11	1	APPLE CARE SERVICE LETTER DISPATCH #R7276408 (6 PAGES), LOCATED IN THE LIVING ROOM	NO VALUE
5	02/11/11	1	GENIUS BAR WORK CONFIRMATION (2 PAGES) REPAIR # R7276313 AND R7276408, LOCATED IN THE LIVING ROOM	NO VALUE
TOTAL				\$50.00


UNITED STATES SECRET SERVICE

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SSF 1544 (03/88)

FINAL JAB

Casefile

CERTIFIED INVENTORY OF EVIDENCE (Continuation Sheet)			SERIAL NUMBER (FROM SSF 1544) 102 2011 CE 000033	PAGE 2 OF 3 PAGES
DATE OF INVENTORY 02/11/11		OFFICE BOSTON FIELD OFFICE		CASE NO. 102-775-0060071-8
ITEM NO.	DATE RECEIVED	QUANTITY	DESCRIPTION OF EVIDENCE	VALUE
			BROUGHT FORWARD	\$50.00
6	02/11/11	1	DIGITAL MEDIA COMPACT FLASH 25GB SINGLE TECH, LOCATED IN THE LIVING ROOM BOOKCASE	\$5.00
TOTAL 				\$55.00

UNITED STATES SECRET SERVICE

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SSF 1544A (03/06)

FINAL JAB

RIF

CHAIN OF CUSTODY LOG

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[illegible]

CERTIFIED INVENTORY OF EVIDENCE

SERIAL NUMBER
102 2011 CR 000036

EVIDENCE HELD AGAINST

☐ SPECIMEN

CASE NO

102-775-0060071-2

SWARTZ AARON

OFFICE

BOSTON FIELD OFFICE

EVIDENCE INVENTORIED BY

(b)(6),(b)(7)(C)

DATE OF INVENTORY

02/11/11

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SIGNATURE - SPECIAL AGENT

DATE

(b)(6),(b)(7)(C)

2/11/11

SIGNATURE - WITNESS

DATE

REVIEWING SUPERVISOR

(b)(6),(b)(7)(C)

SIGNATURE

DATE

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SIGNATURE - FORENSIC SERVICES DIVISION

DATE

ITEM NO.	DATE RECEIVED	QUANTITY	DESCRIPTION OF EVIDENCE	VALUE
1	02/11/11	40	THE BELOW LISTED ITEMS WERE SEIZED PURSUANT TO A FEDERAL SEARCH WARRANT ON 2/11/11 AT 950 MASSACHUSETTS AVE. APT #320, BOSTON, MA. THESE ITEMS ARE MARKED "AS 2/11/11" FOR IDENTIFICATION PURPOSES. AS IDENTIFIES SA (b)(6),(b)(7)(C) BOSTON FIELD OFFICE. FORTY MISC CD-R DISCS WITH CASES AND MISC PAPERWORK, LOCATED IN THE BEDROOM,	\$40.00
2	02/11/11	2	TWO HARD DRIVE ENCLOSURES, LOCATED IN THE LIVING ROOM CLOSET	\$2.00
3	02/11/11	1	T MOBILE SIDICKICK, LOCATED IN THE LIVING ROOM CLOSET	NO VALUE
4	02/11/11	1	SONY MICRO VAULT, ORANGE, LOCATED IN WALLET	\$5.00
TOTAL				\$47.00

UNITED STATES SECRET SERVICE

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SSF 1544 (03/86)

FINAL JAB

Casefile

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UNITED STATES SECRET SERVICE

CERTIFIED INVENTORY OF EVIDENCE

SERIAL NUMBER
102 2011 CE 000037

EVIDENCE HELD AGAINST

☐ SPECIMEN

CASE NO.

102-775-0060071-S

SWARTZ AARON

OFFICE

BOSTON FIELD OFFICE

EVIDENCE

(b)(6),(b)(7)(C)

DATE OF INVENTORY

02/11/11

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SIGNATURE - SPECIAL AGENT

DATE

(b)(6),(b)(7)(C)

SIGNATURE - WITNESS

DATE

(b)(6),(b)(7)(C)

SIGNATURE

DATE

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SIGNATURE - FORENSIC SERVICES DIVISION

DATE

ITEM NO.	DATE RECEIVED	QUANTITY	DESCRIPTION OF EVIDENCE	VALUE
			<p>THE BELOW LISTED ITEMS WERE SEIZED PURSUANT TO A FEDERAL SEARCH WARRANT ON 2/11/11 AT 930 MASSACHUSETTS AVE, APT #320, BOSTON, MA.</p> <p>THESE ITEMS ARE MARKED "AS 2/11/11" FOR IDENTIFICATION PURPOSES. AS IDENTIFIED BY SA (b)(6),(b)(7)(C) BOSTON FIELD OFFICE.</p>	
1	02/11/11	1	"OFFICE DEPOT" DVD&R, HANDWRITTEN LABEL "BIBLIOGRAPHIC DATA WHICH MYSTERIOUSLY APPEARED ONE DAY AS THE SUN WAS SHINING", LOCATED IN THE BEDROOM	\$5.00
2	02/11/11	1	POCKET NOTEBOOK WITH BLUE AND WHITE HEXAGON AND RECTANGLE DESIGN COVER, LOCATED IN THE BEDROOM	NO VALUE
3	02/11/11	1	PURE DRIVE MODEL 761 EXTERNAL HARD DRIVE QUICK START GUIDE WITH CD, CONTAINED IN PLASTIC BAG, LOCATED IN THE BEDROOM	\$20.00
4	02/11/11	1	DISC UTILITY INTERNAL HARD DRIVE UPGRADE KIT, YELLOW AND ORANGE CD IN WHITE PAPER CASE, LOCATED IN THE BEDROOM	\$20.00
			TOTAL	\$45.00

UNITED STATES SECRET SERVICE

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SSF 1544 (03/05)

FINAL JAB

CASE FILE

CERTIFIED INVENTORY OF EVIDENCE (Continuation Sheet)			SERIAL NUMBER (FROM SSF 1544) 102 2011 CE 000037		PAGE 2 OF 3 PAGES	
DATE OF INVENTORY 02/11/11			OFFICE BOSTON FIELD OFFICE		CASE NO. 102-775-0060071-8	
ITEM NO.	DATE RECEIVED	QUANTITY	DESCRIPTION OF EVIDENCE			VALUE
			BROUGHT FORWARD			\$45.00
✓ 5	02/11/11	1	SEAGATE SATA 3.5" BARRACUDA INTERNAL HARD DRIVE INSTALLATION GUIDE, LOCATED IN THE BEDROOM			\$5.00
TOTAL →						\$50.00

UNITED STATES SECRET SERVICE

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SSF 1544A (03/05)

FINAL JAB

RIF

CHAIN OF CUSTODY LOG

If this inventory consists of more than one page, document the Chain of Custody Log on the reverse of the FINAL CONTINUATION SHEET.

CERTIFIED INVENTORY OF EVIDENCE (Continuation Sheet)		SERIAL NUMBER (FROM SSF 1544) 102 2011 CE 000037		PAGE 3 OF 3 PAGES	
DATE OF INVENTORY 02/11/11		OFFICE BOSTON FIELD OFFICE		CASE NO. 102-775-0060071-5	
ITEM NO.	DATE	ACTION	REGISTRY NUMBER(S)	SIGNATURE	
1-5	2/1/11	TO BFO vault		(b)(6), (b)(7)(C)	
711	11/21/11	TO SA (b)(6), (b)(7)(C) / discovery			
3, 4, 5	11/21/11	Returned to Section 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, 22, 23, 24, 25, 26, 27, 28, 29, 30, 31, 32, 33, 34, 35, 36, 37, 38, 39, 40, 41, 42, 43, 44, 45, 46, 47, 48, 49, 50, 51, 52, 53, 54, 55, 56, 57, 58, 59, 60, 61, 62, 63, 64, 65, 66, 67, 68, 69, 70, 71, 72, 73, 74, 75, 76, 77, 78, 79, 80, 81, 82, 83, 84, 85, 86, 87, 88, 89, 90, 91, 92, 93, 94, 95, 96, 97, 98, 99, 100, 101, 102, 103, 104, 105, 106, 107, 108, 109, 110, 111, 112, 113, 114, 115, 116, 117, 118, 119, 120, 121, 122, 123, 124, 125, 126, 127, 128, 129, 130, 131, 132, 133, 134, 135, 136, 137, 138, 139, 140, 141, 142, 143, 144, 145, 146, 147, 148, 149, 150, 151, 152, 153, 154, 155, 156, 157, 158, 159, 160, 161, 162, 163, 164, 165, 166, 167, 168, 169, 170, 171, 172, 173, 174, 175, 176, 177, 178, 179, 180, 181, 182, 183, 184, 185, 186, 187, 188, 189, 190, 191, 192, 193, 194, 195, 196, 197, 198, 199, 200, 201, 202, 203, 204, 205, 206, 207, 208, 209, 210, 211, 212, 213, 214, 215, 216, 217, 218, 219, 220, 221, 222, 223, 224, 225, 226, 227, 228, 229, 230, 231, 232, 233, 234, 235, 236, 237, 238, 239, 240, 241, 242, 243, 244, 245, 246, 247, 248, 249, 250, 251, 252, 253, 254, 255, 256, 257, 258, 259, 260, 261, 262, 263, 264, 265, 266, 267, 268, 269, 270, 271, 272, 273, 274, 275, 276, 277, 278, 279, 280, 281, 282, 283, 284, 285, 286, 287, 288, 289, 290, 291, 292, 293, 294, 295, 296, 297, 298, 299, 300, 301, 302, 303, 304, 305, 306, 307, 308, 309, 310, 311, 312, 313, 314, 315, 316, 317, 318, 319, 320, 321, 322, 323, 324, 325, 326, 327, 328, 329, 330, 331, 332, 333, 334, 335, 336, 337, 338, 339, 340, 341, 342, 343, 344, 345, 346, 347, 348, 349, 350, 351, 352, 353, 354, 355, 356, 357, 358, 359, 360, 361, 362, 363, 364, 365, 366, 367, 368, 369, 370, 371, 372, 373, 374, 375, 376, 377, 378, 379, 380, 381, 382, 383, 384, 385, 386, 387, 388, 389, 390, 391, 392, 393, 394, 395, 396, 397, 398, 399, 400, 401, 402, 403, 404, 405, 406, 407, 408, 409, 410, 411, 412, 413, 414, 415, 416, 417, 418, 419, 420, 421, 422, 423, 424, 425, 426, 427, 428, 429, 430, 431, 432, 433, 434, 435, 436, 437, 438, 439, 440, 441, 442, 443, 444, 445, 446, 447, 448, 449, 450, 451, 452, 453, 454, 455, 456, 457, 458, 459, 460, 461, 462, 463, 464, 465, 466, 467, 468, 469, 470, 471, 472, 473, 474, 475, 476, 477, 478, 479, 480, 481, 482, 483, 484, 485, 486, 487, 488, 489, 490, 491, 492, 493, 494, 495, 496, 497, 498, 499, 500, 501, 502, 503, 504, 505, 506, 507, 508, 509, 510, 511, 512, 513, 514, 515, 516, 517, 518, 519, 520, 521, 522, 523, 524, 525, 526, 527, 528, 529, 530, 531, 532, 533, 534, 535, 536, 537, 538, 539, 540, 541, 542, 543, 544, 545, 546, 547, 548, 549, 550, 551, 552, 553, 554, 555, 556, 557, 558, 559, 560, 561, 562, 563, 564, 565, 566, 567, 568, 569, 570, 571, 572, 573, 574, 575, 576, 577, 578, 579, 580, 581, 582, 583, 584, 585, 586, 587, 588, 589, 590, 591, 592, 593, 594, 595, 596, 597, 598, 599, 600, 601, 602, 603, 604, 605, 606, 607, 608, 609, 610, 611, 612, 613, 614, 615, 616, 617, 618, 619, 620, 621, 622, 623, 624, 625, 626, 627, 628, 629, 630, 631, 632, 633, 634, 635, 636, 637, 638, 639, 640, 641, 642, 643, 644, 645, 646, 647, 648, 649, 650, 651, 652, 653, 654, 655, 656, 657, 658, 659, 660, 661, 662, 663, 664, 665, 666, 667, 668, 669, 670, 671, 672, 673, 674, 675, 676, 677, 678, 679, 680, 681, 682, 683, 684, 685, 686, 687, 688, 689, 690, 691, 692, 693, 694, 695, 696, 697, 698, 699, 700, 701, 702, 703, 704, 705, 706, 707, 708, 709, 710, 711, 712, 713, 714, 715, 716, 717, 718, 719, 720, 721, 722, 723, 724, 725, 726, 727, 728, 729, 730, 731, 732, 733, 734, 735, 736, 737, 738, 739, 740, 741, 742, 743, 744, 745, 746, 747, 748, 749, 750, 751, 752, 753, 754, 755, 756, 757, 758, 759, 760, 761, 762, 763, 764, 765, 766, 767, 768, 769, 770, 771, 772, 773, 774, 775, 776, 777, 778, 779, 780, 781, 782, 783, 784, 785, 786, 787, 788, 789, 790, 791, 792, 793, 794, 795, 796, 797, 798, 799, 800, 801, 802, 803, 804, 805, 806, 807, 808, 809, 810, 811, 812, 813, 814, 815, 816, 817, 818, 819, 820, 821, 822, 823, 824, 825, 826, 827, 828, 829, 830, 831, 832, 833, 834, 835, 836, 837, 838, 839, 840, 841, 842, 843, 844, 845, 846, 847, 848, 849, 850, 851, 852, 853, 854, 855, 856, 857, 858, 859, 860, 861, 862, 863, 864, 865, 866, 867, 868, 869, 870, 871, 872, 873, 874, 875, 876, 877, 878, 879, 880, 881, 882, 883, 884, 885, 886, 887, 888, 889, 890, 891, 892, 893, 894, 895, 896, 897, 898, 899, 900, 901, 902, 903, 904, 905, 906, 907, 908, 909, 910, 911, 912, 913, 914, 915, 916, 917, 918, 919, 920, 921, 922, 923, 924, 925, 926, 927, 928, 929, 930, 931, 932, 933, 934, 935, 936, 937, 938, 939, 940, 941, 942, 943, 944, 945, 946, 947, 948, 949, 950, 951, 952, 953, 954, 955, 956, 957, 958, 959, 960, 961, 962, 963, 964, 965, 966, 967, 968, 969, 970, 971, 972, 973, 974, 975, 976, 977, 978, 979, 980, 981, 982, 983, 984, 985, 986, 987, 988, 989, 990, 991, 992, 993, 994, 995, 996, 997, 998, 999, 1000			

CERTIFIED INVENTORY OF EVIDENCE

SERIAL NUMBER
102 2011 CE 000119

EVIDENCE HELD AGAINST

☐ SPECIMEN

CASE NO

102-775-0060071-8

SWARTZ AARON

OFFICE

BOSTON FIELD OFFICE

EVIDENCE INVENTORY (b)(6),(b)(7)(C)

DATE OF INVENTORY

06/07/11

PAGE 1 OF 2 PAGES

(b)(6),(b)(7)(C)

6/7/11

SIGNATURE - SPECIAL AGENT

(b)(6),(b)(7)(C)

DATE

REVIEWING SUPERVISOR

(b)(6),(b)(7)(C)

(b)(6),(b)(7)(C)

6/7/11

SIGNATURE - WITNESS

DATE

SIGNATURE

DATE

I certify the evidence described in the page(s) of this inventory, not otherwise disposed of per attached documentations, was verified for final retention at Forensic Services Division this date.

SIGNATURE - FORENSIC SERVICES DIVISION

DATE

ITEM NO.	DATE RECEIVED	QUANTITY	DESCRIPTION OF EVIDENCE	VALUE
			<p>THE BELOW LISTED EVIDENCE WAS RECEIVED BY SA (b)(6),(b)(7)(C) SFO, FROM THE LAW OFFICES OF GOOD & CORRIER, 83 ATLANTIC AVENUE, BOSTON, MA., ON 6/7/11. THIS EVIDENCE WAS TURNED OVER BY SUSPECT AARON SWARTZ.</p> <p>THESE ITEMS HAVE BEEN MARKED AND DATED "MF 6/7/11" IDENTIFYING SA (b)(6),(b)(7)(C) OF THE BOSTON FIELD OFFICE.</p>	
1	06/07/11	1	SAMSUNG HDD 1500GB HARD DRIVE SERIAL NUMBERS: S1Y6J1CZ800325	\$25.00
2	06/07/11	1	SAMSUNG HDD 1500GB HARD DRIVE SERIAL NUMBERS: S1Y6J1CZ800329	\$25.00
3	06/07/11	1	SAMSUNG HDD 1500GB HARD DRIVE SERIAL NUMBERS: S1Y6J1CZ800331	\$25.00
4	06/07/11	1	SAMSUNG HDD 1500GB HARD DRIVE SERIAL NUMBERS: S1Y6J1CZ800332	\$25.00
TOTAL				\$100.00

UNITED STATES SECRET SERVICE

This form was electronically produced via OnnForm by USSS/ADMIN/MNO/PAR/S

FINAL JAB

SSF 1544 (03/06)

Original

CHAIN OF CUSTODY LOG

If this inventory consists of more than one page, document the Chain of Custody Log on the reverse of the FINAL CONTINUATION SHEET.

[illegible]

CERTIFIED INVENTORY OF PERSONAL PROPERTY

SERIAL NUMBER
15287

NAME OF SUBJECT

Aaron Swartz

CASE NO

102-775-60071

OFFICE CONDUCTING INVENTORY

BOS / 102

DATE OF INVENTORY

6/7/11

PAGE 1 OF 1 PAGES

SIGNATURE

(b)(6), (b)(7)(C)

INVENTORY

(b)(6), (b)(7)(C)

BY

(b)(6), (b)(7)(C)

TIME RECEIVED

DATE RECEIVED

13 12

6/7/11

ITEM #

QUANTITY

DESCRIPTION OF PROPERTY

1

1

Samsung HDD SN S1Y6J1CZ800 326

2

1

Samsung HDD SN S1Y6J1CZ800 329

3

1

Samsung HDD SN S1Y6J1CZ800 331

4

1

Samsung HDD SN S1Y6J1CZ800 332

I hereby acknowledge relinquishing the above listed property and have been informed that I have thirty (30) days from the date of this notice to reclaim my property or designate someone in writing to receive it. If after thirty (30) days, the property has not been claimed, the U.S. Secret Service will commence abandonment procedures. (THIS NOTICE WILL SERVE AS YOUR ONLY NOTICE)

SIGNATURE OF SUBJECT:

RETURN OF PROPERTY

I ACKNOWLEDGE RECEIPT OF THE ABOVE LISTED PROPERTY WITH THE FOLLOWING EXCEPTIONS

☐ NONE (List items by number)

SIGNATURE OF SUBJECT

SIGNATURES OF PERSONS CERTIFYING RETURN OF PROPERTY

1.

2.

TIME RECEIVED:

DATE RECEIVED:

UNITED STATES SECRET SERVICE

This form was electronically produced via OmniForm by USGSA/ADMIN/OPARS

A TO SUBJECT UPON EXECUTION

SSF 3051 (08/2002)

MCI(311)
CCTRL D CASE NUMBER: 102-775-0060071-S
FINANCIAL CRIMES INVESTIGATION
CC NR: 1

CASE TYPE: 775.51
SARS CASE(Y/N): N
OTHER NON-FATGRI
CPG TYPE: B48260

OFF: 102 CASE TITLE: AARON SWARTZ

PRIMARY ---LOCATION-----
UNITS ST CITY
00000001 MA CAMBRIDGE

CCTRL HAS ADDITIONAL SECONDARY
RE-OPEN FIELD ORIG IOD ZONE TRANSF
X

AGENT FOR CASE CREDIT
(b)(6),(b)(7)(C)

AGENT ID NR	AGENT 1823	DATE	DATE	DOLLAR AMOUNT
(b)(2),(b)(7)	102	010411	MMDDYY	0000050000
				POTENTIAL LO
				CURRENT
				ACTUAL LOSS

NUMBER OF DEVICES:

HEADQUARTERS:

OFFENDER IDENTIFIED (Y OR N):

REMARKS:

JUDICIAL ACTION---BLANKET SPECIF
ACCEPT DECLINE DECLIN
FEDERAL;
STATE :

F3 F4 F5 F6
CASE TICK SECD JUST

F9 F10 DATE EST 011911
MENU EXIT DATE UPD 011911

211

PERSONAL HISTORY SUMMARY

CASE NO

102-775-80071

UNKNOWN ☐ SUBJECT ☐

SUBJECT (LAST FIRST MI)

SWARTZ, AARON, MNU

APPROPRIATE

ARMED AND DANGEROUS

ALIAS/NICKNAME (LAST FIRST MI)

ALIAS/NICKNAME (LAST FIRST MI)

ALIAS/NICKNAME (LAST FIRST MI)

SEX

☒ MALE (M) ☐ FEMALE (F)

RACE

☐ ASIAN/PACIFIC ISLANDER (A) ☐ BLACK (B) ☒ WHITE (W)

HEIGHT

WEIGHT

EYES

☐ BLUE (BLU) ☐ GREEN (GRN) ☐ GRAY (GRY) ☒ BROWN (BRO) ☐ BLACK (BLK) ☐ HAZEL (HAZ) ☐ PINK (PNK) ☐ MAROON (MAR)

HAIR

☒ BROWN (BRO) ☐ BLACK (BLK) ☐ SANDY (SDY) ☐ BLOND (BLN) ☐ GRAY (GRY) ☐ WHITE (WHI) ☐ RED (RED) ☐ BALD (BAL)

DOB

MM DD YY
1 1 0 8 8 6

EST. YEAR OF BIRTH
(If DOB not known)

POB (CITY/STATE/COUNTRY)

CHICAGO, IL

DOB

MM DD YY

DOB

MM DD YY

INTEREST

☐ APPLICANT (1) ☐ REGISTERED OWNER (5) ☐ VICTIM (10) ☐ WANTED WARRANT (15) ☐ SUBJECT (19)
☐ EMPLOYEE (2) ☐ ENDORSE (6) ☐ WITNESS (11) ☐ FUGITIVE (16) ☐ EX-MAJOR OFFENDER (20)
☐ CONTRACT EMPLOYEE (3) ☐ REQUESTER (7) ☐ SUSPECT (12) ☐ PROTECTEE (17) ☐ HQS USE ONLY (21-27)
☐ PAYEE (4) ☐ COMPLAINANT (8) ☒ DEFENDANT (13) ☐ SUPPLY HOUSE CARVASS (18) ☐ CANCELLER (28)
☐ PLAINTIFF (9) ☐ WANTED FOR QUESTIONING (14) ☒ DECEASED

OCCUPATION

OCCUPATION

OCCUPATION

RESEARCHER

KNOWN TO AGENT (LAST, FIRST, MI)

(b)(6),(b)(7)(C)

DO NO

SSN

SSN

SSN

FBI NO

(b)(2),(b)(7)

(b)(6),(b)(7)(C) -0493

(b)(6),(b)(7)(C) -1374

MILITARY SERVICE NO / BRANCH

DATES OF DUTY

TYPE OF DISCHARGE

675304KDO

VA CLAIM NO

PASSPORT (COUNTRY/NO)

NO / STATE LOCAL PD

LOCAL PD NO

LOCAL PD NO

(b)(6),(b)(7)(C)

MA10556559

DRIVERS LICENSE (STATE/NO)

DRIVERS LICENSE (STATE/NO)

UNK

SCARS (DESCRIBE)

TATTOOS (DESCRIBE)

SPEECH DEFECT (EXPLAIN)

ACCENT (DESCRIBE)

MISSING LIMB (DESCRIBE)

PHYSICAL DEFORMITY (DESCRIBE)

ADDICT (SPECIFY)

HANDWRITING

PHOTOGRAPH

FINGERPRINTS

PALMPRINTS

INS ALIEN NO

MISC NO

MISC NO

OTHER AGENCY NO

UNITED STATES SECRET SERVICE

SSF 1599 (10/90)

Case No: 102-775-60071

BANK/CREDIT NAME		ACCOUNT NO		ADDRESS	
NAME		ACCOUNT NO		ADDRESS	
HOBBY/SKILLS		ORGANIZATIONS/AFFILIATIONS/OTHER REMARKS			
RESIDENCE ADDRESS NUMBER		STREET		CITY	STATE ZIP CODE CTRY
PHONE AREA CODE	NUMBER	EXT	PHONE AREA CODE	NUMBER	EXT REMARKS
SECONDARY ADDRESS NUMBER		STREET		CITY	STATE ZIP CODE CTRY
PHONE AREA CODE	NUMBER	EXT	PHONE AREA CODE	NUMBER	EXT REMARKS
VEHICLE OWNED/USED/LEASED					
TAG NO	STATE	EXPIRATION MM YY	YEAR	MAKE	MODEL/STYLE COLOR VIN
TAG NO	STATE	EXPIRATION MM YY	YEAR	MAKE	MODEL/STYLE COLOR VIN
EMPLOYER NAME		EMPLOYMENT DATES		DUTIES PERFORMED	
HARVARD UNIVERSITY				RESEARCHER	
PHONE AREA CODE		NUMBER	EXT	ADDRESS NUMBER STREET CITY STATE ZIP CODE CTRY	
EMPLOYER NAME		EMPLOYMENT DATES		DUTIES PERFORMED	
PHONE AREA CODE		NUMBER	EXT	ADDRESS NUMBER STREET CITY STATE ZIP CODE CTRY	
RELATIVES AND/OR ASSOCIATES					
RELATIONSHIP	NAME		ADDRESS		AREA CODE/PHONE NO
FATHER	(b)(6),(b)(7)(C)				
MOTHER	(b)(6),(b)(7)(C)				
LAST ARREST DATE/LOCATION/AGENCY/OFFENSE					
NCIC WANTS OR WARRANTS (Date Check Made) MM DD YY		OTHER WANTS OR WARRANTS (Specify - Agency) (Date Check Made)			
0 1 0 7 1 1					
DECLINATION		SPECIAL AGENT COMPLETING FORM		DATE FORM COMPLETED	
FEDERAL	<input type="checkbox"/> BLANKET <input type="checkbox"/> SPECIFIC				
STATE	<input type="checkbox"/> BLANKET <input type="checkbox"/> SPECIFIC	(b)(6),(b)(7)(C)		01/18/11	
UNITED STATES SECRET SERVICE					

Judicial Action Summary

Case No 102-775-60071			Defendant's Name (last, first, mi) SWARTZ, AARON, MNU		
Arrest Date MM DD YY 07 19 11		Office No 102	Offense Case Type 775.510	Agent for Arrest Credit (b)(6), (b)(7)(C)	ID No (b)(2), (b)
Initial Appearance <input type="checkbox"/> Dismiss <input type="checkbox"/> Held		Judicial District BOSTON	Name of Judge/Magistrate JUDGE JUDITH G. DEIN		Appearance Date MM DD YY 07 19 11
Preliminary Hearing/Arraignment <input type="checkbox"/> Dismiss <input type="checkbox"/> Held <input checked="" type="checkbox"/> Continued		Name of Judge/Magistrate JUDGE JUDITH G. DEIN		Bail \$100,000.00	Appearance Date MM DD YY 07 19 11
Grand Jury <input type="checkbox"/> No Bill <input checked="" type="checkbox"/> True Bill		Grand Jury Judicial District BOSTON		Grand Jury Date MM DD YY 07 14 11	
Trial Court <input type="checkbox"/> Federal <input type="checkbox"/> State <input type="checkbox"/> Municipal <input type="checkbox"/> Ct Martial		Judge/Magistrate		Appearance Date MM DD YY	
Final Plea Entered <input type="checkbox"/> Guilty <input type="checkbox"/> Not Guilty <input type="checkbox"/> Nolo Contendere <input type="checkbox"/> Civil <input type="checkbox"/> Other (explain)					
Disposition <input type="checkbox"/> Conviction <input type="checkbox"/> Acquitted <input type="checkbox"/> Nolle Pro <input type="checkbox"/> Other (explain) <input type="checkbox"/> Misdemeanor <input type="checkbox"/> Felony					
Agent for Disposition Credit		ID Number	1823 off	SA off	Trial Court Judicial District
Sentence YY MM DD	Suspended YY MM DD	Probation YY MM DD	Probation Start Date MM DD YYYY	Fine \$	Suspended \$
Sentence/Disposition Date MM DD YY		Disposition Office Number		Sentencing Judge	
Terms of Sentence					
Agent Assisting in Arrest		Agent Assisting in Arrest		Agent Assisting in Arrest	
Violation/Description 18 USC 1343 Wire Fraud; 18 USC 1030 (a) (4) Computer Fraud; 18 USC 1030 (a) (2) Theft of Information; 18 USC 1030 (a) (5) (B) Recklessly Damaging a Computer; 18 USC 2 Aiding and Abetting				Violation <input checked="" type="checkbox"/> Federal <input type="checkbox"/> State	
Remarks (i.e. scheduled court appearances, bond, etc.)					

RECEIVED
US SECRET SERVICE
2011 NOV 29 P 3:53
BOSTON, MA

UNITED STATES GOVERNMENT

MEMORANDUM

Date. August 1, 2011
U. S. Secret Service
REPLY TO
ATTN OF: SAIC - Boston
File J-102-775-60071-S
SUBJECT. Photograph
TO: SAIC - Investigative Support Division

Attached please find a photograph of *Aaron Swartz* for your files.

(b)(6),(b)(7)(C)

For Steven D Ricciardi
Special Agent in Charge

2011

Prepared on 07/19/2011

United States Marshals Service - LIMITED OFFICIAL USE
Booking Package Photos



USMS NUMBER: 94045-038
ORI: MAUSM0100

Date of Arrest: 07/19/2011
FBI Number:

Last Name: SWARTZ
First Name: AARON
Middle Name:

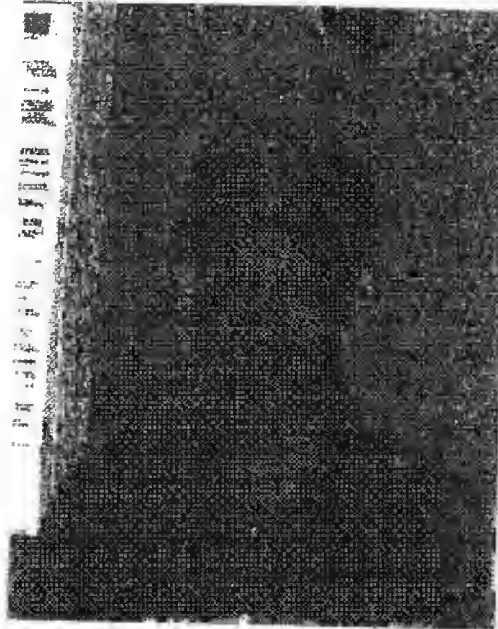
Sex: M
Race Code: W
DOB: 11/08/1986

Height: 5'06"
Weight: 130 LBS

Eye Color: BRO
Hair Color: BLK

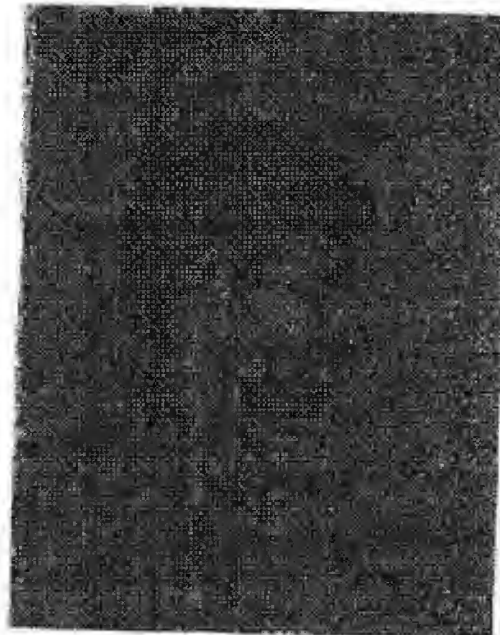
View : FRONT

Date Taken: 07/19/2011



View : RIGHT

Date Taken: 07/19/2011



"Limited Official Use"

This Information is the Property of the U.S. Marshals Service and Shall Not be Publicly Released or Disseminated Without U.S. Marshals Service Authority.
Page 1 of 2

RIF

Prepared on: 07/19/2011

United States Marshals Service - LIMITED OFFICIAL USE
Booking Package Photos



USMS NUMBER: 94045-038
ORI: MAUSM0100

Date of Arrest: 07/19/2011
FBI Number:

Last Name: SWARTZ
First Name: AARON
Middle Name:

Sex: M
Race Code: W
DOB: 11/08/1986

Height: 5'06"
Weight: 130 LBS

Eye Color: BRO
Hair Color: BLK

****Limited Official Use****

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Page 2 of 2

RIF

UNITED STATES GOVERNMENT
M E M O R A N D U M
U.S. SECRET SERVICE

Date: August 1, 2011

File: J-102-775-60071-S

Reply To
Attn Of: SAIC - Boston

Subject: Fingerprints of *Aaron Swartz*

To: SAIC - Forensic Services Division

Attached please find a FD-249 of *Aaron Swartz*
for your files.

(b)(6) (b)(7)(C)

For: Steven D. Ricciardi
Special Agent In Charge

2/11

SWARTZ, AARON

360840493

19861108

M

W

B66

130

B



RIF

MASS10200

UNITED STATES SECRET SERVICE

MASS10200

MASS10200

20110719

20110719

IL

US

(b)(6) (b)(7)(C)

(b)(6) (b)(7)(C)

20110719

2608-Fraud By Wire

20110719

2609-Fraud And Abuse - Computer

Medical Concerns

FINAL DISPOSITION REPORT

Leave Blank

Note: This vital report must be prepared on each individual whose arrest fingerprints have been forwarded to the FBI Criminal Justice Information Services Division without final disposition noted thereon. If no final disposition is available to arresting agency, complete left side and forward the form when case referred to prosecutor and/or courts. Agency on notice as to final disposition should complete this form and submit to FBI, CJIS Division, Clerkburg, WV 26308.

(See instructions on reverse side)

FBI No. 675304KD0		Final Disposition & Date (If convicted or subject pleaded guilty to lesser charge, include this modification with disposition.)
Name on fingerprint Card Submitted to FBI Last First Middle Swartz Aaron		
Date of Birth 11/08/86 Sex M		
Henry Fingerprint Classification From FBI 1-8 Response		
State Bureau No. (SID) MA10556559	Social Security No. (SOC) (b)(6) REVZUC 0493	This Form Submitted By: (Name, Title, Agency, ORI No., City & State) Signature _____ Date _____ Title _____
Contributor of Fingerprints (Include complete name and location of agency together with ORI number.) U.S. Secret Service 10 Causeway St., Suite 447 Boston, MA 02222 MASS 10200		
Arrest No. (OCA)	Date Arrested or Received 7/19/11	
Offenses Charged at Arrest 1343 - Wire Fraud 1030 (a) (4) - Computer Fraud 1030 (a) (2) - Theft of information from a computer 1030 (a) (5) (B) - Recklessly damaging a computer Section 2- Aiding and Abetting		<input type="checkbox"/> COURT ORDERED EXPUNGEMENT: Certified or Authenticated Copy of Court Order Attached.

2011
7/19/11
11:00 AM
FBI

U. S. Secret Service NCIC/NLETS Response Display

ORI: MASS10200

Response:

FROM(NLETS) ON 08/01/11 AT 12:38:49 MASS10200

CR.WVFBINFOO

09:38 08/01/2011 44413

09:38 08/01/2011 47045 MASS10200

TXT

HDR/2101-NCIYMASS102000

ATN (b)(6)(b)(7)(C)

***** CRIMINAL HISTORY RECORD *****

Data As Of 2011-08-01

***** Introduction *****

This rap sheet was produced in response to the following request:

FBI Number 675304KDOO

Request Id

Purpose Code

Attention

C

(b)(6)(b)(7)(C)

The information in this rap sheet is subject to the following caveats:
This record is based only on the FBI number in your request-675304KDOO.Because additions or deletions may be made at any time, a new copy
should be requested when needed for subsequent use. (US; 2011-08-01;All arrest entries contained in this FBI record are based on
fingerprint comparisons and pertain to the same individual. (US;
2011-08-01)The use of this record is regulated by law. It is provided for official
use only and may be used only for the purpose requested. (US;
2011-08-01)

***** IDENTIFICATION *****

Subject Name(s)

SWARTZ, AARON H

SWARTZ, AARON (AKA)

Subject Description

FBI Number

675304KDOO

State Id Number

MA1G556559 (MA)

Social Security Number

(b)(6)(b)(7)(C) 0493

Sex

Male

Race

White

Height

5'06"

Weight

120

Hair Color

Brown

Eye Color

Brown

Date of Birth

1986-11-08

Fingerprint Pattern

UC UC UC UC UC UC UC UC UC

Place of Birth

IL

Citizenship

US

Fingerprint Images

Photo Images

Photo Image Available

Available Image

(No Photo Image Transmitted)

FBI-CJIS DIV-CLARKSBG CLARKSBURG WVFBINFOO

Other

Comment: FBI has two photos associated with
arrest date of 2011/07/19)

Photo Image Available US SECRET SERVICE BOSTON MASS10200
 Available Image Other
 (No Photo Image Transmitted)
 Comment: Arresting agency has photo associated
 with arrest date of 2011/07/19)
 ***** CRIMINAL HISTORY *****
 ===== Cycle 001 =====
 Earliest Event Date 2011-07-19

 Arrest Date 2011-07-19
 Arrest Case Number
 Arresting Agency MASS10200 US SECRET SERVICE BOSTON
 Subject's Name SWARTZ, AARON
 Charge 01
 Charge Literal 2608-FRAUD BY WIRE
 Agency MASS10200 US SECRET SERVICE BOSTON
 Severity
 Charge 02
 Charge Literal 2609-FRAUD AND ABUSE - COMPUTER
 Agency MASS10200 US SECRET SERVICE BOSTON
 Severity
 ***** INDEX OF AGENCIES *****
 Agency FBI-CJIS DIV-CLRKSBG CLARKSBURG; WVFBINF00;
 Address 1000 CUSTER HOLLOW RD
 CLARKSBURG, WV 26306

 Agency US SECRET SERVICE BOSTON; MASS10200;
 Address STE 791 10 CAUSEWAY ST
 BOSTON, MA 02221080
 * * * END OF RECORD * * *

<< Previous

Next >>

Cancel

RIF

U. S. Secret Service NCIC/NLETS Response Display

ORI: MASS10200

Response:

FROM(NLETS) ON 08/01/11 AT 12:38:50 MASS10200

CR.MA1110000

09:38 08/01/2011 03098

09:38 08/01/2011 47046 MASS10200

TXT

HDR/2L01-NCIYMASS102000

ATN:(b)(6)(b)(7)(C)

THIS RECORD IS BASED ONLY ON THE SID NUMBER IN YOUR REQUEST-

SID/MA10556559

FBI/675304K00

PUR/C

NAME:

SWARTZ, AARON H

ADDRESS

(b)(6)(b)(7)(C)

DOB	SSN	RACE	SEX	HEIGHT	WEIGHT	HAIR	EYE COLOR	BIRTH PLACE
19861108		W	M	506	120	BRO	BRO	IL
MISCELLANEOUS NUMBER		CITIZENSHIP		NON-FP-CLASS				

EMPLOYER

124 MOUNT AUBURN ST #52 CAMBRIDGE, MA

OCCUPATION

RESEARCH AT HAR

EVENT DATA

ARREST NAME

SWARTZ, AARON H

AGENCY

CAMBRIDGE PD LS 1

ARREST DATE CASE NUMBER

20110106

OBTN

OFFENSE DATE

TCAM201100032

OFFENSES

20110106 B&E DAYTIME FOR FELONY c266 S18

OFFENSES

20110106 B&E DAYTIME FOR FELONY c266 S18

DISPOSITIONS

END OF RECORD

<< Previous

Next >>

Cancel

U. S. Secret Service NCIC/NLETS Response Display

ORI: MASS10200-0

Response: FROM(NCIC) ON 08/01/11 AT 12:38:51 MASS10200-0

FL01-NCIYMASS102000

MASS10200

THIS INTERSTATE IDENTIFICATION INDEX RESPONSE IS THE RESULT OF YOUR
RECORD REQUEST FOR FBI/675304KD0. INDIVIDUAL'S RECORD WILL BE
COMPLETE WHEN ALL RESPONSES ARE RECEIVED FROM THE FOLLOWING SOURCES:

FBI - FBI/675304KD0
MASSACHUSETTS - STATE ID/MA10556559

END

Next >>Cancel

RIF

CJIS 988081 02/16/2012 1012 S0751/6950.

UN.

2L01111 QUERY

MASS102S0

THIS INTERSTATE IDENTIFICATION INDEX RESPONSE IS THE RESULT OF YOUR
RECORD REQUEST FOR FBI/675304KD0. INDIVIDUAL'S RECORD WILL BE
COMPLETE WHEN ALL RESPONSES ARE RECEIVED FROM THE FOLLOWING SOURCES:

FBI - FBI/675304KD0

MASSACHUSETTS - STATE ID/MA10556559

END.>><

CJIS 988081 02/16/2012 1012 S0753/6950.

UL.

CR.WVFBINF00

08:14 02/16/2012 26734

08:14 02/16/2012 10301 MASS102S0

TXT

HDR/2L01 TR

ATN (b)(6)(b)(7)(C)

***** CRIMINAL HISTORY RECORD *****

Data As Of 2012-02-16

***** Introduction *****

This rap sheet was produced in response to the following request:

FBI Number 675304KD0

Request Id

Purpose Code

C

Attention

(b)(6)(b)(7)(C)

The information in this rap sheet is subject to the following caveats:

This record is based only on the FBI number in your request-675304KD0.

Because additions or deletions may be made at any time, a new copy
should be requested when needed for subsequent use. (US; 2012-02-16)

All arrest entries contained in this FBI record are based on
fingerprint comparisons and pertain to the same individual. (US;
2012-02-16)

The use of this record is regulated by law. It is provided for official
use only and may be used only for the purpose requested. (US;
2012-02-16)

***** IDENTIFICATION *****

Subject Name(s)

SWARTZ, AARON H

SWARTZ, AARON (AKA)

Subject Description

FBI Number

State Id Number

575304KD0

MA10556559 (MA)

Social Security Number

(b)(6)(b)(7)(C) 0493

Sex

Race

Male

White

Height

Weight

5'06"

120

Hair Color

Eye Color

Brown

Brown

Other)

Date of Birth

1986-11-08

Fingerprint Pattern

UP UC UP UP UP UC UP UP UP (O

IL
Fingerprint Images
Photo Images
Photo Image Available
Available Im
age

Citizenship

U

FBI-CJIS DIV-CLRKSBG CLARKSBURG WVFBINF00

(No Photo Image Transmitted

Comment:FBI has two photos associated with
arrest date of 2011/07/19)

Photo Image Available US SECRET SERVICE BOSTON MASS10200
Available Image Other

(No Photo Image Transmitted

Comment:Arresting agency has photo associated
with arrest date of 2011/07/19)

***** CRIMINAL HISTORY *****

===== Cycle 001 =====

Earliest Event Date 2011-07-19

Arrest Date 2011-07-19

Arrest Case Number

Arresting Agency MASS10200 US SECRET SERVICE BOSTON

Subject's Name SWARTZ, AARON

Charge 01

Charge Literal 2608-FRAUD BY WIRE

Agency MASS10200 US SECRET SERVICE BOSTON

Severity

Charge 02

Charge Literal 2609-FRAUD AND ABUSE - COMPUTER

Agency MASS10200 US SECRET SERVICE BOSTON

Severity

***** INDEX OF AGENCIES *****

Agency FBI-CJIS DIV-CLRKSBG CLARKSBURG; WVFBINF00;

Address 1000 CUSTER HOLLOW RD
CLARKSBURG, WV 26306

Agency US SECRET SERVICE BOSTON; MASS10200;

Address STE 791 10 CAUSEWAY ST
BOSTON, MA 022221080

* * * END OF RECORD * * *

RIF

***** 02/20/2012 1010 51330/6950 *****

***** WARNING ***** WARNING *****

THIS INFORMATION IS CORI. IT IS NOT SUPPORTED BY FINGERPRINTS.
PLEASE CHECK THAT THE NAME REFERENCED BELOW MATCHES THE NAME AND DATE OF BIRTH
OF THE PERSON REQUESTED.

***** J. 102-775-6007-5 *****

COMMONWEALTH OF MASSACHUSETTS *****
DEPARTMENT OF CRIMINAL JUSTICE INFORMATION SERVICES

*** PERSONS COURT SUMMARY ***

NAM: SWARTZ, AARON H FORMAL-NAM: AARON PCF: 00005176807
DOB: 11/08/86 SEX: M RAC: W POB: IL SSN: (b)(6)(b)(7) 0493
MOM: POP: HGT: 506 WGT: 130 HAI: BLK EYE: BRO
ADDRESS: 76 OXFORD ST CAMBRIDGE, MA

11-2-12

***** ADULT APPEARANCES *****

ARRAIGNMENT: (001)
ARG-DATE: 11/30/11 PD: CAM COURT: MIDDLESEX SUPERIOR DKT# 20111133001
OFF: BREAKING AND ENTERING DT BLDG B&E DT
DISP: C 1/3/12 STATUS: O WPD:

ARRAIGNMENT: (002)
ARG-DATE: 11/30/11 PD: CAM COURT: MIDDLESEX SUPERIOR DKT# 20111133002
OFF: BREAKING AND ENTERING DT BLDG B&E DT
DISP: C 1/3/12 STATUS: O WPD:

ARRAIGNMENT: (003)
ARG-DATE: 11/30/11 PD: MID COURT: MIDDLESEX SUPERIOR DKT# 20111133003
OFF: LARCENY MORE O/\$250 LAR MORE
DISP: C 1/3/12 STATUS: O WPD:

ARRAIGNMENT: (004)
ARG-DATE: 11/30/11 PD: CAM COURT: MIDDLESEX SUPERIOR DKT# 20111133004
OFF: CRIME OF (SPECIFY IN FULL) UNAUTH ACCESS/COMPTRCRIME OF
DISP: C 1/3/12 STATUS: O WPD:

ARRAIGNMENT: (005)

ARG-DATE: 11/30/11 PD: CAM COURT: MIDDLESEX SUPERIOR DKT#: 20111133005
OFF: CRIME OF (SPECIFY IN FULL) UNAUTH ACCESS/COMPTRCRIME OF
DISP: C 1/3/12 STATUS: O WPD:

ARRAIGNMENT: (006)

ARG-DATE: 11/30/11 PD: CAM COURT: MIDDLESEX SUPERIOR DKT#: 20111133006
OFF: CRIME OF (SPECIFY IN FULL) UNAUTH ACCESS/COMPTRCRIME OF
DISP: C 1/3/12 STATUS: O WPD:

ARRAIGNMENT: (007)

ARG-DATE: 07/19/11 PD: FED COURT: BOSTON FEDERAL DKT#: 111026001
OFF: FRAUD (SPECIFY) WIRE FRD
DISP: CNT1 C STATUS: O WPD:

ARRAIGNMENT: (008)

ARG-DATE: 07/19/11 PD: FED COURT: BOSTON FEDERAL DKT#: 111026001A
OFF: FRAUD (SPECIFY) COMPUTER FRD
DISP: CNT2 C STATUS: O WPD:

ARRAIGNMENT: (009)

ARG-DATE: 07/19/11 PD: FED COURT: BOSTON FEDERAL DKT#: 111026001B
OFF: CRIME OF (SPECIFY IN FULL) UNL OBT INFO FR COMPCRIME OF
DISP: CNT3 C STATUS: O WPD:

ARRAIGNMENT: (010)

ARG-DATE: 07/19/11 PD: FED COURT: BOSTON FEDERAL DKT#: 111026001C
OFF: CRIME OF (SPECIFY IN FULL) RECKLESSLY DAM COMP CRIME OF
DISP: CNT4 C STATUS: O WPD:

ARRAIGNMENT: (011)

ARG-DATE: 01/07/11 PD: MIP COURT: CAMBRIDGE DISTRICT DKT#: 1152CR000073A
OFF: B&E DT W/I COM FEL B&E DT W/I FEL
DISP: C 12/16/11 NP STATUS: C WPD:

ARRAIGNMENT: (012)

ARG-DATE: 01/07/11 PD: MIP COURT: CAMBRIDGE DISTRICT

DKT#: 1152CR000075A

RIF

--- DT W/I COM FEL
DISP: C 12/16/11 NP

B&E DT W/I FEL
STATUS: C WPD:

***** ***** ***** ***** END OF ADULT APPEARANCES ***** ***** *****

REQUESTED BY: (b)(6) (b)(7)(C)
COMPLETED BY:
AGENCY: US SECRET SERVICE

CERTIFIED INVENTORY OF EVIDENCE

EVIDENCE HELD AGAINST

SWARTZ AARON

☐ SPECIMEN

SERIAL NUMBER

102 2011 CE 000032

CASE NO.

102-775-0060071-8

OFFICE

BOSTON FIELD OFFICE

EVIDENCE INVENTORIED BY

(b)(6) (b)(7)(C)

DATE OF INVENTORY

02/11/11

PAGE

1

OF

3

PAGES

SIGNATURE - SPECIAL AGENT

(b)(6) (b)(7)(C)

2/11/11
DATE

REVIEWING SUPERVISOR

(b)(6) (b)(7)(C)

SIGNATURE - WITNESS

2/11/11
DATE

SIGNATURE

2/11/11
DATE

I certify the evidence described in the page(s) of this inventory, not otherwise disposed of per attached documentation, was verified for final retention at Forensic Services Division this date.

SIGNATURE - FORENSIC SERVICES DIVISION

DATE

DESCRIPTION OF EVIDENCE

VALUE

ITEM NO.

DATE RECEIVED

QUANTITY

1

02/11/11

1

THE BELOW LISTED ITEMS WERE SEIZED PURSUANT TO A FEDERAL SEARCH WARRANT AT 950 MASSACHUSETTS AVE., APTS 320 BOSTON, MA., ON 2/11/11. THESE ITEMS ARE MARKED "AB 2/11/11" IDENTIFYING MARK (b)(6) (b)(7)(C) BOSTON FIELD OFFICE.

NO VALUE

2

02/11/11

1

HARVARD UNIVERSITY EARNINGS STATEMENT FOR 12/11/10-12/17/10, ADDRESSED TO AARON SWARTZ, 950 MASSACHUSETTS AVE., CAMBRIDGE, MA., LOCATED IN THE BEDROOM CLOSET OF THE RESIDENCE.

NO VALUE

3

02/11/11

1

EARNINGS STATEMENT ADDRESSED TO AARON SWARTZ, 950 MASSACHUSETTS AVE., CAMBRIDGE, MA ORDERED BY GOOGLE INC. 1680 AMPHITHEATRE PARKWAY, MOUNTAIN VIEW, CA 94043. AMOUNT DUE \$1,703.35, LOCATED IN THE BEDROOM CLOSET OF THE RESIDENCE.

NO VALUE

MASTER'S THESIS M-876 LIND, WILLIAM EDMOND, THOMAS McDONALD: "A STUDY OF AN ENGINEER ADMINISTRATOR & HIS INFLUENCE ON PUBLIC ROADS IN THE US 1919-1953," LOCATED IN THE BEDROOM OF THE RESIDENCE.

2012 JAN -9 AM 7:05

U.S. SERVICE

TOTAL

NO VALUE

UNITED STATES SECRET SERVICE

FINAL JAB

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SSF 1044 (03/99)

Admire

CERTIFIED INVENTORY OF EVIDENCE
(Continuation Sheet)

SERIAL NUMBER (FROM SSF 1544)
102 2011 CE 000032

DATE OF INVENTORY
02/11/11

OFFICE
BOSTON FIELD OFFICE

PAGE 2 OF 3 PAGES

CASE NO.
102-775-0060071-3

ITEM NO.	DATE RECEIVED	QUANTITY	DESCRIPTION OF EVIDENCE	VALUE
			BROUGHT FORWARD	NO VALUE
4	02/11/11	1	WESTERN DIGITAL MAIL-IN REBATE FORM, LOCATED IN THE BEDROOM OF THE RESIDENCE.	NO VALUE
5	02/11/11	1	ONE SHEET OF 8 1/2 X 11 NOTEBOOK PAPER WITH BLACK INKED LETTER TO AARON, SIGNED [REDACTED] DATED OCTOBER 27, 2009, LOCATED IN THE BEDROOM OF THE RESIDENCE.	NO VALUE
TOTAL				NO VALUE

UNITED STATES SECRET SERVICE

FINAL JAB

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SSF 1544A (03/85)

CHAIN OF CUSTODY LOG

If this inventory consists of more than one page, document the Chain of Custody Log on the reverse of the FINAL CONTINUATION SHEET

CERTIFIED INVENTORY OF EVIDENCE

(Continuation Sheet)

SERIAL NUMBER (FROM SSC 4444)

BOSTON FIELD OFFICE		CASE NO	PAGES
ITEM NO	DATE	ACTION	REGISTRY NUMBER(S)
1-5	2/11/11	TO PTO VALLEY	(b)(6) (b)(7)(C)
all	1/1/11	TO SM [redacted] to security	
1-5	1/1/11	Returned to [redacted] Westbury ATTY	

CERTIFIED INVENTORY OF EVIDENCE

SERIAL NUMBER
102 2011 CE 000032

EVIDENCE HELD AGAINST

☐ SPECIMEN

SWARTZ AARON

CASE NO

102-775-0060071-S

OFFICE

BOSTON FIELD OFFICE

EVIDENCE INVENTORIED BY

(b)(6)(b)(7)(C)

DATE OF INVENTORY

02/11/11

PAGE

1

OF

3

PAGES

SIGNATURE - SPECIAL AGENT

2/11/11
DATE

REVIEWING SUPERVISOR

(b)(6)(b)(7)(C)

(b)(6)(b)(7)(C)

SIGNATURE - WITNESS

2/11/11
DATE

SIGNATURE

2/11/11
DATE

I certify the evidence described in the page(s) of this inventory, not otherwise disposed of per attached documentations, was verified for final retention at Forensic Services Division this date.

SIGNATURE - FORENSIC SERVICES DIVISION

DATE

ITEM NO.	DATE RECEIVED	QUANTITY	DESCRIPTION OF EVIDENCE	VALUE
1	02/11/11	1	THE BELOW LISTED ITEMS WERE SEIZED PURSUANT TO A FEDERAL SEARCH WARRANT AT 950 MASSACHUSETTS AVE, APTS 320 BOSTON, MA., ON 2/11/11. THESE ITEMS ARE MARKED "AS 2/11/11" IDENTIFYING EA (b)(6)(b)(7)(C) BOSTON FIELD OFFICE.	NO VALUE
2	02/11/11	1	BARNARD UNIVERSITY EARNINGS STATEMENT FOR 12/11/10-12/17/10, ADDRESSED TO AARON SWARTZ, 950 MASSACHUSETTS AVE., CAMBRIDGE, MA., LOCATED IN THE BEDROOM CLOSET OF THE RESIDENCE.	NO VALUE
3	02/11/11	1	EARNINGS STATEMENT ADDRESSED TO AARON SWARTZ, 950 MASSACHUSETTS AVE., CAMBRIDGE, MA ORDERED BY GOOGLE INC. 1680 AMPHITHEATRE PARKWAY, MOUNTAIN VIEW, CA 94043. AMOUNT DUE \$1,703.35, LOCATED IN THE BEDROOM CLOSET OF THE RESIDENCE.	NO VALUE
		1	MASTER'S THESIS M-876 LIND, WILLIAM EDMUND, THOMAS McDONALD: "A STUDY OF AN ENGINEER ADMINISTRATOR & HIS INFLUENCE ON PUBLIC ROADS IN THE US 1919-1953," LOCATED IN THE BEDROOM OF THE RESIDENCE.	NO VALUE
TOTAL				NO VALUE

UNITED STATES SECRET SERVICE

FINAL JAB

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SSF 1544 (03/86)

Casefile

CERTIFIED INVENTORY OF EVIDENCE
(Continuation Sheet)

SERIAL NUMBER (FROM SSF 1544)
102 2011 CR 000032

PAGE 2 OF 3 PAGES

DATE OF INVENTORY
02/11/11

OFFICE
BOSTON FIELD OFFICE

CASE NO.
102-775-0060071-3

ITEM NO.	DATE RECEIVED	QUANTITY	DESCRIPTION OF EVIDENCE	VALUE
			BROUGHT FORWARD	NO VALUE
4	02/11/11	1	WESTERN DIGITAL MAIL-IN REBATE FORM, LOCATED IN THE BEDROOM OF THE RESIDENCE.	NO VALUE
5	02/11/11	1	ONE SHEET OF 8 1/2 X 11 NOTEBOOK PAPER WITH BLACK INKED LETTER TO AARON, SIGNED (b)(6)(b)(7)(C) DATED OCTOBER 27, 2009, LOCATED IN THE BEDROOM OF THE RESIDENCE.	NO VALUE
TOTAL				NO VALUE

UNITED STATES SECRET SERVICE

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FINAL JAB

SSF 1544A (3/88)

CHAIN OF CUSTODY LOG

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CERTIFIED INVENTORY OF EVIDENCE

(Continuation Sheet)

SERIAL NUMBER (FROM S&S 40)

DATE OF INVENTORY
02/11/11

SERIAL NUMBER (FROM SSF 1544)
102 2011 CE 000032

PAGE 3 OF 3 PAGES
CASE NO

CASE NO.
102-775-0060071-8

OFFICE
BOSTON FIELD OFFICE

ITEM NO

DATE _____

ACTION

REGISTRY NUMBER(S)

SIGNATURE

(b)(6) (b)(7)(C)

		ACTION	REGISTER
1-5	2/11/11	TO BTO VALLEY	
Call	1/11/11	TO S. [REDACTED]	
1-5	1/11/11	Return to Jennifer Weinberg	ATTN: [REDACTED]

CERTIFIED INVENTORY OF EVIDENCE

EVIDENCE HELD AGAINST

☐ SPECIMEN

SERIAL NUMBER

102 2011 CE 000035

SWARTZ AARON

CASE NO.

102-775-0060071-8

OFFICE

BOSTON FIELD OFFICE

EVIDENCE INVENTORIED BY

(b)(6) (b)(7)(C)

DATE OF INVENTORY

02/11/11

PAGE

1

OF

2

PAGES

SIGNATURE - SPECIAL AGENT

DATE

(b)(6) (b)(7)(C)

SIGNATURE - WITNESS

DATE

REVIEWING SUPERVISOR

(b)(6) (b)(7)(C)

SIGNATURE

DATE

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SIGNATURE - FORENSIC SERVICES DIVISION

DATE

ITEM NO.	DATE RECEIVED	QUANTITY	DESCRIPTION OF EVIDENCE	VALUE
			THE BELOW LISTED ITEMS WERE SEIZED PURSUANT TO A FEDERAL SEARCH WARRANT AT 950 MASSACHUSETTS AVE, APT# 320, BOSTON, MA., ON 2/11/11. THESE ITEMS ARE MARKED "AR 2/11/11" IDENTIFYING SA (b)(6) (b)(7)(C) OF THE BOSTON FIELD OFFICE.	
1	02/11/11	1	METALLIC BLUE IPOD, LOCATED IN THE BEDROOM OF THE RESIDENCE.	\$50.00
2	02/11/11	1	WHITE IPOD W/PLASTIC WHITE CARRYING CASE, LOCATED IN THE BEDROOM OF THE RESIDENCE.	\$50.00
3	02/11/11	1	WHITE IPOD, LOCATED IN THE BEDROOM OF THE RESIDENCE.	\$50.00
			SERIAL NUMBERS: BA6330856UXEA	
4	02/11/11	1	WHITE ITALK, LOCATED IN THE BEDROOM OF THE RESIDENCE.	\$25.00
5	02/11/11	1	BLACK 16GB THUMBDRIVE, WHITE LABEL W/RED INK, READING "IL STATE BOARD OF ELECTIONS, NORMALIZED STATEWIDE 11-09," LOCATED IN THE BEDROOM OF THE RESIDENCE.	\$10.00
			TOTAL	\$185.00

UNITED STATES SECRET SERVICE

TOTAL

\$185.00

FINAL JAB

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SSF 1544 (03/06)

Admire

CHAIN OF CUSTODY LOG If this inventory consists of more than one page, document the Chain of Custody Log on the reverse of the FINAL CONTINUATION SHEET (Continuation Sheet)	
DATE OF INVENTORY 02/11/11	OFFICE SERIAL NUMBER (FROM SSF 1544) 102 2011 CE 000007

DATE OF INVENTORY 02/11/11		OFFICE BOSTON FIELD OFFICE		SERIAL NUMBER (FROM SSF 1544) 192 2011 CE 000035		PAGE 2 OF 2 PAGES	
ITEM NO	DATE	ACTION		CASE NO			
1-5	2-11-11			192-2011			

OFFICE
BOSTON FIELD OFFICE

SERIAL NUMBER (FROM SSF 1544)
102 2011 CE 000035

PAGE 2 OF 2 PAGES

CASE NO
102-775-0060071-S

BOSTON FIELD OFFICE		PAGE 2 OF 2	PAGES
ITEM NO	DATE	ACTION	REGISTRY NUMBER(S)
1-5	2-11-11	DISCOVERED	
911	2/2/11	TO SA [REDACTED]	
1-5	2/2/11	Returned to [REDACTED] - [REDACTED]	

CERTIFIED INVENTORY OF EVIDENCE

SERIAL NUMBER
102 2011 CE 000035

EVIDENCE HELD AGAINST

☐ SPECIMEN

CASE NO

102-775-0060071-S

SWARTZ AARON

OFFICE

BOSTON FIELD OFFICE

EVIDENCE INVENTORIED BY

(b)(6) (b)(7)(C)

DATE OF INVENTORY

02/11/11

PAGE

1

OF

2

PAGES

SIGNATURE - SPECIAL AGENT

2/11/11

DATE

(b)(6) (b)(7)(C)

REVIEWING SUPERVISOR

(b)(6) (b)(7)(C)

SIGNATURE - WITNESS

2/11/11

DATE

SIGNATURE

2/11/11

DATE

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SIGNATURE - FORENSIC SERVICES DIVISION

DATE

ITEM NO.	DATE RECEIVED	QUANTITY	DESCRIPTION OF EVIDENCE	VALUE
			THE BELOW LISTED ITEMS WERE SEIZED PURSUANT TO A FEDERAL SEARCH WARRANT AT 950 MASSACHUSETTS AVE, APT# 320, BOSTON, MA., ON 2/11/11. THESE ITEMS ARE MARKED "AB 2/11/11" IDENTIFYING SA (b)(6) (b)(7)(C) OF THE BOSTON FIELD OFFICE.	
1	02/11/11	1	METALLIC BLUE IPOD, LOCATED IN THE BEDROOM OF THE RESIDENCE.	\$50.00
2	02/11/11	1	WHITE IPOD W/PLASTIC WHITE CARRYING CASE, LOCATED IN THE BEDROOM OF THE RESIDENCE.	\$50.00
3	02/11/11	1	WHITE IPOD, LOCATED IN THE BEDROOM OF THE RESIDENCE.	\$50.00
			SERIAL NUMBERS: 8A6930856UX8A	
4	02/11/11	1	WHITE ITALK, LOCATED IN THE BEDROOM OF THE RESIDENCE.	\$25.00
5	02/11/11	1	BLACK 16GB THUMBDRIVE. WHITE LABEL W/RED INK, READING "IL STATE BOARD OF ELECTIONS, NORMALIZED STATEWIDE 11-09," LOCATED IN THE BEDROOM OF THE RESIDENCE.	\$10.00
			TOTAL	\$185.00

UNITED STATES SECRET SERVICE

FINAL JAB

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SSF 1544 (03/06)

casefile

If this inventory consists of more than one page, document the Chain of Custody Log on the reverse of the FINAL CONTINUATION SHEET	
CHAIN OF CUSTODY LOG	SERIAL NUMBER (FROM SSF 1544) 102 2011 CE 000035

CERTIFIED INVENTORY OF EVIDENCE
(Continuation Sheet)

DATE OF INVENTORY
02/11/11

OFFICE
BOSTON FIELD OFFICE

SERIAL NUMBER (FROM SSF 1544)
102 2011 CE 000035

PAGE 2 OF 2 PAGES
CASE NO.

CASE NO.
102-775-0060071-S

BOSTON FIELD OFFICE		PAGE 2 OF 2	PAGES
ITEM NO	DATE	ACTION	REGISTRY NUMBER(S)
1-5	2-11-11	BBFOVALLIT	
911	"12/1"	TO SA (b)(6)(b)(7)(C)	
1-5	"12/14"	Returned to 1/2 for 1/2/14, 1/2/14	

CERTIFIED INVENTORY OF EVIDENCE

Check 4/12

EVIDENCE HELD AGAINST

☐ SPECIMEN

SERIAL NUMBER

102 2011 CE 000081

SWARTZ AARON

CASE NO.

102-775-0060071-3

OFFICE

BOSTON FIELD OFFICE

EVIDENCE INVENTORIED BY

(b)(6) (b)(7)(C)

DATE OF INVENTORY

02/11/11

PAGE

1

OF

2

PAGES

SIGNATURE, SPECIAL AGENT

2/11/11

(b)(6) (b)(7)(C)

DATE

REVIEWING SUPERVISOR

(b)(6) (b)(7)(C)

SIGNATURE - WITNESS

2-11-11

DATE

SIGNATURE

2/11/11

DATE

I certify the evidence described in the page(s) of this inventory, not otherwise disposed of per attached documentations, was verified for final release at Forensic Services Division this date.

[Signature]

SIGNATURE - FORENSIC SERVICES DIVISION

12-28-11

DATE

ITEM NO	DATE RECEIVED	QUANTITY	DESCRIPTION OF EVIDENCE	VALUE
1	02/11/11	16	<p>THE BELOW LISTED ITEMS WERE SEIZED PURSUANT TO A FEDERAL SEARCH WARRANT ON 2/11/11 AT 950 MASSACHUSETTS AVE, APT #320, BOSTON, MA.</p> <p>THESE ITEMS ARE MARKED "MP 2/11/11" FOR IDENTIFICATION PURPOSES. MP IDENTIFIED AS (b)(6) (b)(7)(C) BOSTON FIELD OFFICE.</p> <p>SIXTEEN DEPARTMENT OF LABOR CO'S CONTAINED IN A YELLOW MAILING ENVELOPE.</p>	\$16.00
TOTAL				\$16.00

UNITED STATES SECRET SERVICE

FINAL JAB

This form was electronically produced via OmniForm by USSS/ADMIN/NO/PAIS

SSF 1544 (03/00)

Admiral

CERTIFIED INVENTORY OF EVIDENCE

SERIAL NUMBER
102 2011 CE 000081

EVIDENCE HELD AGAINST

☐ SPECIMEN

SWARTZ AARON

CASE NO.

102-775-0060071-2

OFFICE

BOSTON FIELD OFFICE

EVIDENCE INVENTORIED BY

(b)(6) (b)(7)(C)

DATE OF INVENTORY

02/11/11

PAGE

1

OF 2

PAGES

SIGNATURE - SPECIAL AGENT

2/11/11

DATE

(b)(6) (b)(7)(C)

REVIEWING SUPERVISOR

(b)(6) (b)(7)(C)

SIGNATURE - WITNESS

2-11-11

DATE

SIGNATURE

2/11/11

DATE

I certify the evidence described in the page(s) of this inventory, not otherwise disposed of per attached documentations, was verified for final retention at Forensic Services Division this date.

SIGNATURE - FORENSIC SERVICES DIVISION

DATE

ITEM NO.

DATE RECEIVED

QUANTITY

DESCRIPTION OF EVIDENCE

VALUE

1

02/11/11

16

THE BELOW LISTED ITEMS WERE SEIZED PURSUANT TO A FEDERAL SEARCH WARRANT ON 2/11/11 AT 950 MASSACHUSETTS AVE, APT #320, BOSTON, MA.

THESE ITEMS ARE MARKED "MF 2/11/11" FOR IDENTIFICATION PURPOSES. MF IDENTIFIED SA (b)(6) (b)(7)(C) BOSTON FIELD OFFICE.

SIXTEEN DEPARTMENT OF LABOR CD'S CONTAINED IN A YELLOW MAILING ENVELOPE.

\$16.00

TOTAL

\$16.00

UNITED STATES SECRET SERVICE

FINAL JAB

This form was electronically produced via OnixForm by USSS/ADMIN/MKOPAR6

SSF 1544 (03/96)

Casefile

If this inventory consists of more than one log, document each log with a separate Chain of Custody Log on the reverse of the FINAL CONTINUATION SHEET.

[illegible]



U.S. Department of Homeland Security
UNITED STATES SECRET SERVICE

Boston Field Office
10 Causeway St., Suite 447
Boston, MA 02222-1080
Telephone: (617) 565-5640

File #: 102-775-60071-S

RECEIPT

On November 21, 2011 SA (b)(6); (b)(7)(C) Boston Field Office returned the below listed items to
Martin Weinburg, Attorney for suspect Aaron Swartz.

<u>ITEM#:</u>	<u>SSF 1544 Evidence S/N:</u>	<u>Description</u>
1 ✓	102 2011 CE 31	Scientific Atlantic Modem with Power Cord
4 ✓	"	Black Notebook Journal
1-5 ✓	102 2011 CE 32	Statements, Mail In Rebate, Notebook Paper with black writing, and Master's Thesis M-876 Lind
1 ✓	102 2011 CE 33	Wireless Broadband Router Linksys
1-5 ✓	102 2011 CE 35	Ipods, Wireless ITalk, and Thumbdrive
1 ✓	102 2011 CE 36	(39) Misc CD's
3-5 ✓	102 2011 CE 37	Pure Drive Harddrive, Disc Utility Internal Harddrive Upgrade, and Seagate Sata Internal Harddrive
1 ✓	102 2011 CE 81	(16) Department of Labor CD's

SIGNATURE:

DATE: 11/21/11

2011 NOV 22 A 10:23



U.S. Department of Homeland Security
UNITED STATES SECRET SERVICE

Boston Field Office
10 Causeway St., Suite 447
Boston, MA 02222-1080
Telephone: (617) 565-5640

File: 102-775-80071-S

RECEIPT

I acknowledge receipt of the following items from the U.S. Secret Service, Boston, MA:

One (1) Western Digital My Passport hard drive, serial #WXG1A8148179

SIGNATURE: _____

(b)(6) (b)(7)(C)

Print Name: _____

(b)(6) (b)(7)(C)

DATE: _____

12/20/11

RECEIVED FROM: _____

(b)(6) (b)(7)(C)

SA (b)(6) (b)(7)(C)

Boston Field Office

201 DEC 20 P 12:03



U.S. Department of Homeland Security
UNITED STATES SECRET SERVICE

Boston Field Office
10 Causeway St., Suite 447
Boston, MA 02222-1080
Telephone: (617) 565-5640

File #: 102-775-60071-S

RECEIPT

I acknowledge receipt of the following items from the U.S. Secret Service, Boston, MA:
One Toshiba Portable Hard Drive, Serial Number Y112P078TQQ6

SIGNATURE:

(b)(6) (b)(7)(C)

PRINT NAME:

(b)(6) (b)(7)(C)

DATE:

01/31/12

RECEIVED FROM:

(b)(6) (b)(7)(C)

SA (b)(6) (b)(7)(C)

Boston Field Office

107 FEB 29 10 1:29

(b)(6) (b)(7)(C)

(BOS)

From:

Sent:

To:

Subject:

(b)(6) (b)(7)(C)

(BOS)

Wednesday, November 23, 2011 1:12 PM

(b)(6) (b)(7)(C) (BOS)

Swartz 102-775-60071 1544 102 2011 CE 38

Item #1 the Apple iMac Model A1311 with serial number WB025AXGD87 from 1544 inventory 102 2011 CE 38 in case 102-775-60071 was turned over to Detective (b)(6) (b)(7)(C) of the Harvard University Police Department today 11/23/11.

(b)(6) (b)(7)(C)

U.S. Secret Service

Boston Field Office

(b)(6) (b)(7)(C)

UNITED STATES GOVERNMENT
m e m o r a n d u m

DATE: November 21, 2011

U. S. Secret Service

REPLY TO

ATTN OF: ISA (b)(6)(b)(7)(C)

File: 102-775-60071-S

SUBJECT: Disposition of Evidence - Aaron Swartz

TO: ATSAIC (b)(6)(b)(7)(C)

Info: FSD

Approval is requested for the disposition of evidence inventoried under the above file number as indicated below:

SSF 1544	DATE	ITEM	DESCRIPTION	EXCEPTION
1022011CE32	02/11/11	1-5	Misc Statements	A
1022011CE35	02/11/11	1-5	Ipods, Elect Items	A
1022011CE81	02/11/11	1	(16) CD's	A

// EXCEPTION X:

Local destruction is authorized for inventoried items noted above not to include those items followed by an exception, explained below. A statement of certification of Destruction should be attached on the reverse of SSF 1544, parts II and III. Part II should be forwarded to FSD.

// EXCEPTION A:

You are authorized to return these items to the victim bank or merchant, etc. The recipient shall acknowledge receipt by signing a statement of receipt and/or the U.S. Postal Service registered mail receipt will be attached to part II of the appropriate SSF 1544 and forwarded to FSD.

// EXCEPTION B:

You are authorized to retain this non-contraband item in the closed file for possible future investigative or forensic purposes.

// EXCEPTION C:

This item has been ordered by the court or lawful owner to be donated to a tax exempt organization. Obtain signed statement of receipt, attach to part II of appropriate SSF 1544, and forward to FSD. Forward copy of receipt to Financial Crimes Division for inclusion in the HQ case file.

// EXCEPTION D: (Property valued less then \$500)

This item has been declared abandoned, donated by lawful owner to the USSS, or has been ordered by the court to be used by the USSS. Authorization is hereby granted to retain this item for field office use. The automated Property Management System (PAMS) will be used to track accountable property placed into official use by this Service. You must submit a memorandum to the Property Management Branch thru the Administrative Operations Division, requesting a property number for property meeting the minimum dollar cost criteria (see Administrative Manual Volume I, Chapter 2, Sections 2.3.2 - 2.3.3). A copy of this memorandum and a copy of the SSF 1544 identifying the make, model, item description, serial number, and estimated cost must be attached to the memorandum submitted to the Property Management Branch. A copy of the package submitted to the Property Management Branch must be returned to the Financial Crimes Division for inclusion in the HQ case file.

// EXCEPTION E: (Property valued \$500 - \$1,000):

This item has been declared abandoned, donated by the lawful owner or forfeited to the service by the court. Having received no request from your office to place the property into official use, AOD concurs with this Division's authorization for local disposition. A statement of certification of destruction should be attached on the reverse of the SSF 1544, Part II and III. Part II should be forwarded to FSD. However, if the property is required for official use by your office, follow the procedures as outlined in Exception D, above.

// EXCEPTION F: (Property valued in excess of \$1,000):

This item has been declared abandoned, donated by the lawful owner or forfeited to the service by the court. Having received no request from your office to place the property into official use, SSF 1905, Report of Seized Property for Disposal, has been prepared by this division and forwarded to AOD. You will receive further instructions from AOD or GSA regarding disposition of this (these) item(s). However, if the property is required for office use by your office, follow the procedures as outlined in Exception D, above.

RIF

// EXCEPTION G: (Other)

(b)(6) (b)(7)(C)

Investigative Support Assistant

APPROVED:

(b)(6) (b)(7)(C)

ATSAIC

Boston F.O. - 1CC

FSD - 1CC

CID - 1CC

2128886

RECEIVED
U.S. MARSHAL SERVICE
BOSTON, MA

JUL 14 P 3 7

UNITED STATES DISTRICT COURT

for the
District of MassachusettsUnited States of America
v.

Aaron Swartz

Defendant

Case No.

11-CF-10260

2011

ARREST WARRANT

To: Any authorized law enforcement officer

YOU ARE COMMANDED to arrest and bring before a United States magistrate judge without unnecessary delay
(name of person to be arrested) Aaron Swartz
who is accused of an offense or violation based on the following document filed with the court:

- ☒ Indictment ☐ Superseding Indictment ☐ Information ☐ Superseding Information ☐ Complaint
☐ Probation Violation Petition ☐ Supervised Release Violation Petition ☐ Violation Notice ☐ Order of the Court

This offense is briefly described as follows:

18 U.S.C. 1343 (Wire Fraud); 18 U.S.C. 1030(a)(4) (Computer Fraud); 18 U.S.C. 1030 (a)(2) (Theft of Information From a Computer) and 18 U.S.C. 1030 (a)(5)(B) (Recklessly Damaging a Computer); 18 U.S.C. 2 (Aiding and Abetting)
All committed between September 24, 2010 and January 8, 2011, or thereabout

Date: July 14, 2011City and state: Boston, Massachusetts

Return

This warrant was received on (date) _____, and the person was arrested on (date) _____
at (city and state) _____

Date: _____

Arresting officer's signature _____

Printed name and title _____

RIF

CASREF, VICTIM

United States District Court
District of Massachusetts (Boston)
CRIMINAL DOCKET FOR CASE #: 1:11-cr-10260-NMG-1

Case title: USA v. Swartz

Date Filed: 07/14/2011

Assigned to: Judge Nathaniel M.
Gorton

Referred to: Ch. Magistrate Judge
Judith G. Dein

Defendant (1)

Aaron Swartz

represented by **Andrew Good**
Good & Cormier
3rd Floor
83 Atlantic Ave.
Boston, MA 02110
617-523-5933
Fax: 617-523-7554
Email: agood@goodcormier.com
ATTORNEY TO BE NOTICED
Designation: Retained

Pending Counts

18:1343 & 2... WIRE FRAUD
(1)

18:1030(a)(4) & 2... COMPUTER
FRAUD
(2)

18:1030(a)(2), (c)(2)(B)(iii) &
2 ... UNLAWFULLY OBTAINING
INFORMATION FROM A
PROTECTED COMPUTER
(3)

18:1030(a)(5)(B), (c)(4)(A)(i)(VI) &
2 ... RECKLESSLY DAMAGING A
PROTECTED COMPUTER
(4)

Highest Offense Level (Opening)

Felony

Disposition

Terminated Counts

None

Disposition

Highest Offense Level (Terminated)

None

Complaints

None

Disposition

Plaintiff

USA

represented by **Scott Garland**

United States Attorney's Office
John J. Moakley U.S. Courthouse
Suite 9200
Boston, MA 02210
617-748-3148
Fax: 617-748-3960
Email: scott.garland@usdoj.gov
LEAD ATTORNEY
ATTORNEY TO BE NOTICED

Stephen P. Heymann
United States Attorney's Office
John Joseph Moakley Federal
Courthouse
1 Courthouse Way
Suite 9200
Boston, MA 02210
617-748-3100
Email: Stephen.Heymann@usdoj.gov
ATTORNEY TO BE NOTICED

Date Filed	#	Docket Text
07/14/2011	<u>1</u>	MOTION to Seal Case as to Aaron Swartz by USA. (Smith3, Dianne) (Entered: 07/14/2011)
07/14/2011		Magistrate Judge Timothy S. Hillman: ELECTRONIC ORDER entered granting <u>1</u> Motion to Seal Case as to Aaron Swartz (1) (Smith3, Dianne) (Entered: 07/14/2011)
07/14/2011	<u>2</u>	SEALED INDICTMENT as to Aaron Swartz (1) count(s) 1, 2, 3, 4. (Attachments: # <u>1</u> JS45)(Smith3, Dianne) (Entered: 07/14/2011)
07/14/2011	<u>3</u>	Arrest Warrant Issued by Magistrate Judge Timothy S. Hillman as to Aaron Swartz. (Smith3, Dianne) (Entered: 07/15/2011)

07/15/2011		ELECTRONIC NOTICE of Case Assignment as to Aaron Swartz; Judge Nathaniel M. Gorton assigned to case. If the trial Judge issues an Order of Reference of any matter in this case to a Magistrate Judge, the matter will be transmitted to Chief Magistrate Judge Judith G. Dein. (Rynne, Michelle) (Entered: 07/15/2011)
07/19/2011	<u>4</u>	MOTION to Unseal Case as to Aaron Swartz by USA. (Quinn, Thomas) (Entered: 07/19/2011)
07/19/2011		Ch. Magistrate Judge Judith G. Dein: ELECTRONIC ORDER entered granting <u>4</u> Motion to Unseal Case as to Aaron Swartz (1) (Quinn, Thomas) (Entered: 07/19/2011)
07/19/2011		Attorney update in case as to Aaron Swartz. Attorney Andrew Good added. (Quinn, Thomas) (Entered: 07/19/2011)
07/19/2011		Arrest of Aaron Swartz (Quinn, Thomas) (Entered: 07/19/2011)
07/19/2011		ELECTRONIC Clerk's Notes for proceedings held before Ch. Magistrate Judge Judith G. Dein: Initial Appearance as to Aaron Swartz held on 7/19/2011, Arraignment as to Aaron Swartz (1) Count 1,2,3,4 held on 7/19/2011, Plea entered by Aaron Swartz (1) Count 1,2,3,4. by Aaron Swartz Not Guilty on counts all. USMJ Dein informs the Dft. of his rights and charges; Dft. has retained counsel; Govt. states maximum penalties; Dft. is released on bond with conditions. 1st conference is set for 9/9/11 @ 10:00am (Attorneys present: Heyman and Good.) Court Reporter Name and Contact or digital recording information: Digital Recording. (Quinn, Thomas) (Entered: 07/19/2011)
07/19/2011	<u>5</u>	Appearance and Compliance Bond Entered as to Aaron Swartz in amount of \$ 100,00.00, (Quinn, Thomas) (Entered: 07/19/2011)
07/19/2011	<u>6</u>	Ch. Magistrate Judge Judith G. Dein: ORDER entered. ORDER Setting Conditions of Release as to Aaron Swartz. (Quinn, Thomas) (Entered: 07/19/2011)
07/19/2011	<u>7</u>	Ch. Magistrate Judge Judith G. Dein: ORDER entered. SCHEDULING ORDER as to Aaron Swartz Status Conference set for 9/9/2011 10:00 AM in Courtroom 15 before Ch. Magistrate Judge Judith G. Dein. (Quinn, Thomas) (Entered: 07/19/2011)
07/19/2011	<u>8</u>	Ch. Magistrate Judge Judith G. Dein: ORDER entered. ORDER ON EXCLUDABLE DELAY as to Aaron Swartz. Time excluded from 7/19/11 until 8/16/11. Reason for entry of order on excludable delay: 18 USC 3161(h) (7)(A) Interests of justice. (Quinn, Thomas) (Entered: 07/19/2011)
07/20/2011	<u>9</u>	CORPORATE DISCLOSURE STATEMENT as to Aaron Swartz (Heymann, Stephen) (Entered: 07/20/2011)
07/20/2011	<u>10</u>	NOTICE OF ATTORNEY APPEARANCE Scott Garland appearing for USA. (Garland, Scott) (Entered: 07/20/2011)
07/21/2011	<u>11</u>	Assented to MOTION Unseal Warrants and Applications, MOTION to Unseal Document (Responses due by 8/4/2011) as to Aaron Swartz by USA. (Heymann, Stephen) (Entered: 07/21/2011)

07/21/2011	<u>12</u>	Motion for Victim Rights in case as to Aaron Swartz(Responses due by 8/4/2011), MOTION For Alternative Victim Notification as to Aaron Swartz by USA. (Heymann, Stephen) (Entered: 07/21/2011)
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PACER Service Center			
Transaction Receipt			
08/01/2011 11:09:04			
PACER Login:	ux4041	Client Code:	
Description:	Docket Report	Search Criteria:	1:11-cr-10260-NMG
Billable Pages:	3	Cost:	0.24

FORFEITURE ALLEGATIONS

(18 U.S.C. § 981(a)(1)(C), 28 U.S.C. § 2461(c), and 18 U.S.C. § 982(a)(2)(B))

38. Upon conviction of the offense alleged in Count One of the Indictment, the defendant,

AARON SWARTZ,

shall forfeit to the United States, pursuant to 18 U.S.C. § 981(a)(1)(C) and 28 U.S.C. § 2461(c), any property, real or personal, that constitutes, or is derived from, proceeds traceable to the commission of the offense.

39. Upon conviction of one or more of the offenses alleged in Counts Two through Four of the Indictment, the defendant,

AARON SWARTZ,

shall forfeit to the United States, pursuant to 18 U.S.C. § 982(a)(2)(B) any property constituting, or derived from, proceeds obtained directly or indirectly as a result of the commission of the offenses.

40. If any of the property described in paragraphs 38 and 39 hereof as being forfeitable pursuant to 18 U.S.C. § 981(a)(1)(C), 28 U.S.C. § 2461(c), and 18 U.S.C. § 982(a)(2)(B) as a result of any act or omission of the defendant --

- a. cannot be located upon the exercise of due diligence;
- b. has been transferred to, sold to, or deposited with a third party;
- c. has been placed beyond the jurisdiction of this Court;
- d. has been substantially diminished in value; or
- e. has been commingled with other property which cannot be divided without difficulty;

it is the intention of the United States, pursuant to 21 U.S.C. § 853(p), as incorporated by 28 U.S.C. § 2461(c), to seek forfeiture of all other property of the defendant up to the value of the property described in paragraphs 38 and 39 above.

All pursuant to Title 18, United States Code, Sections 981(a)(1)(C) and 982(a)(2)(B), and Title 28, United States Code, Section 2461(c).

A TRUE BILL

David R. Thompson
Foreperson of the Grand Jury

[Signature]
Assistant United States Attorney

Date: 7-14-11

DISTRICT OF MASSACHUSETTS

July 14, 2011

Returned into the District Court by the Grand Jurors and filed.

[Signature]
Deputy Clerk
Z:000

Criminal Case Cover Sheet

U.S. District Court - District of Massachusetts

Place of Offense: _____ Category No. II Investigating Agency Secret Service

City Cambridge

Related Case Information:

County Middlesex

Superseding Ind./ Inf. _____ Case No. _____
Same Defendant _____ New Defendant _____
Magistrate Judge Case Number _____
Search Warrant Case Number _____
R 20/R 40 from District of _____
See Additional Information Below

Defendant Information:

Defendant Name Aaron Swartz Juvenile: ☐ Yes ☒ No

Is this person an attorney and/or a member of any state/federal bar: ☐ Yes ☒ No

Alias Name _____

Address (City & State) Cambridge, MA

Birth date (Yr only): 86 SSN (last 4#): 1374 Sex M Race: W Nationality: USA

Defense Counsel if known: Andrew Good Address Good & Courmier

Bar Number _____ 83 Atlantic Ave.

U.S. Attorney Information: Boston, MA 02110

AUSA Stephen Heymann Bar Number if applicable 558486

Interpreter: ☐ Yes ☒ No List language and/or dialect: _____

Victims: ☒ Yes ☐ No If yes, are there multiple crime victims under 18 USC§3771(d)(2) ☒ Yes ☐ No

Matter to be SEALED: ☒ Yes ☐ No

☒ Warrant Requested ☐ Regular Process ☐ In Custody

Location Status: _____

Arrest Date _____

☐ Already in Federal Custody as of _____ in _____

☐ Already in State Custody at _____ ☐ Serving Sentence ☐ Awaiting Trial

☐ On Pretrial Release: Ordered by: _____ on _____

Charging Document: ☐ Complaint ☐ Information ☒ Indictment

Total # of Counts: ☐ Petty ☐ Misdemeanor ☒ Felony 4

Continue on Page 2 for Entry of U.S.C. Citations

☒ I hereby certify that the case numbers of any prior proceedings before a Magistrate Judge are accurately set forth above.

Date: July 14, 2011

Signature of AUSA: _____

RIF

District Court Case Number (To be filled in by deputy clerk): _____

Name of Defendant Aaron Swartz

U.S.C. Citations		
	<u>Index Key/Code</u>	<u>Description of Offense Charged</u>
Set 1	<u>18 USC 1343</u>	<u>Wire Fraud</u>
Set 2	<u>18 USC 1030(a)(4)</u>	<u>Computer Fraud</u>
Set 3	<u>18 USC 1030(a)(2)</u>	<u>Theft of Information From a Computer</u>
Set 4	<u>18 USC 1030(a)(5)(B)</u>	<u>Recklessly Damaging a Computer</u>
Set 5	<u>18 USC 981 & 982 and 28 USC 2401</u>	<u>Forfeiture</u>
Set 6	<u>18 USC 2</u>	<u>Aiding and Abetting</u>
Set 7	_____	_____
Set 8	_____	_____
Set 9	_____	_____
Set 10	_____	_____
Set 11	_____	_____
Set 12	_____	_____
Set 13	_____	_____
Set 14	_____	_____
Set 15	_____	_____

1

2

3

4

Counts 1-4

ADDITIONAL INFORMATION: Search Warrant Case Numbers: 11m-5013-JGD; 11m-5014-JGD
11m-5015-JGD; 11m-5031-JGD; 11m-5061-JGD; 11m-5062-JGD; 11m-5063-JGD; and
11m-5143-JGD

Seizure Warrant Case Number: 11m-5138-JGD

AO 442 (Rev. 01/09) Arrest Warrant

UNITED STATES DISTRICT COURT

for the
District of Massachusetts

United States of America
v.

Aaron Swartz

Defendant

Case No. 11-cr-10260

ARREST WARRANT

To: Any authorized law enforcement officer

YOU ARE COMMANDED to arrest and bring before a United States magistrate judge without unnecessary delay
(name of person to be arrested) Aaron Swartz
who is accused of an offense or violation based on the following document filed with the court:

- ☒ Indictment ☐ Superseding Indictment ☐ Information ☐ Superseding Information ☐ Complaint
☐ Probation Violation Petition ☐ Supervised Release Violation Petition ☐ Violation Notice ☐ Order of the Court

This offense is briefly described as follows:

18 U.S.C. 1343 (Wire Fraud); 18 U.S.C. 1030(a)(4) (Computer Fraud); 18 U.S.C. 1030 (a)(2) (Theft of Information From a Computer) and 18 U.S.C. 1030 (a)(5)(B) (Recklessly Damaging a Computer); 18 U.S.C. 2 (Aiding and Abetting)
All committed between September 24, 2010 and January 6, 2011, or thereafter

Date: July 14, 2011

City and state: Boston, Massachusetts



Return

This warrant was received on (date) _____, and the person was arrested on (date) _____
at (city and state) _____.

Date: _____

Arresting officer's signature

Printed name and title

RIF

(b)(6) (b)(7)(C)

(BOS)

From: (b)(6) (b)(7)(C)@cambridgepolice.org
Sent: Friday, February 25, 2011 12:49 PM
To: Heymann, Stephen (USAMA) (Stephen.Heymann@usdoj.gov)
Cc: (b)(6) (b)(7)(C) (BOS)
Subject: Search Warrant Return

Steve and (b)(6) (b)(7)(C)

Please review the following language for the SW returns. If it is agreeable to you both I was intending on handwriting this onto each warrant.

Case No: 11M-5062-JED

Date and Time Warrant Executed: February 25, 2011 9:00am EST

Copy of Warrant and Inventory Left With: Property Technician (b)(6) (b)(7)(C)

Inventory Made In The Presence of: Property Technician (b)(6) (b)(7)(C) and Deputy Superintendent (b)(6) (b)(7)(C)

Inventory of the Property Taken:

HP USB Drive, marked 0045SMKBT1 85102

2.0 Terabyte Western Digital HD Serial Number WMAZA1626675

Acer Aspire One Laptop Computer Serial Number LUSAX0D001001100E1601

Of course the individual item will be on its specific warrant with the info about execution.

(b)
(6) (b)

(b)(6) (b)(7)(C)

(b)(6) (b)(7)(C)

said that she also spoke with Swartz after the search of his residence. (b)(6) (b)(7)(C) said that Swartz told her that agents came into his house and took part of his book. (b)(6) (b)(7)(C) said that Swartz also said that agents came into the Safra Center and took his controller for Rock Band. (b)(6) (b)(7)(C) said that Swartz told her that the agents were frustrated and that they did not find what they were looking for. (b)(6) (b)(7)(C) said that Swartz told her that the agents took his phones.

(b)(6) (b)(7)(C)

(b)(6) (b)(7)(C)

(b)(6) (b)(7)(C)

said that Swartz started a Google Group called Guerilla Open Access.

Memorandum of interview

U.S. Secret Service

Case # 102-775-60071-S

DATE AND TIME May 12, 2011 4:00pm

LOCATION (b)(6) (b)(7)(C)

SUBJECT INTERVIEWED (b)(6) (b)(7)(C)

IN ATTENDANCE SA (b)(6) (b)(7)(C) (BOS)
Detective (b)(6) (b)(7)(C), Cambridge Police

On May 12, 2011, (b)(6) (b)(7)(C) was interviewed at the offices of the (b)(6) (b)(7)(C) in Boston Massachusetts. This interview was in reference to the Boston Field Office case number 102-775-60071-S. The interview was conducted by Special Agent (b)(6) (b)(7)(C) and me, Cambridge Police Detective (b)(6) (b)(7)(C). The following is a synopsis of his statements:

(b)(6) (b)(7)(C) stated that he has been a friend of Aaron Swartz for several years. (b)(6) (b)(7)(C) person, by telephone and via electronic mail. (b)(6) (b)(7)(C) They communicate in uses the email address me@aaronsw.com (b)(6) (b)(7)(C) uses the email address (b)(6) (b)(7)(C) @gmail.com and Swartz above addresses date back 4 to 5 years.

(b)(6) (b)(7)(C) last saw Swartz in passing the previous weekend either on Saturday or Sunday. (b)(6) (b)(7)(C) Swartz was on his way to breakfast.

(b)(6) (b)(7)(C) stated that after he read the Guerilla Manifesto he became interested in the idea of it and (b)(6) (b)(7)(C) (b)(6) (b)(7)(C) stated that he believed Aaron Swartz wrote the manifesto but could not remember if he ever had a conversation with Swartz confirming that Swartz wrote the manifesto. (b)(6) (b)(7)(C) stated that, "They believe that the open access movement is a human rights issue."

(b)(6) (b)(7)(C) stated that (b)(6) (b)(7)(C) is probably the closest person professionally and personally to Swartz and at times acts as his mentor. (b)(6) (b)(7)(C) has worked on projects in the past with (b)(6) (b)(7)(C)

(b)(6) (b)(7)(C)

The interview was completed at approximately 5:00pm.

Respectfully Submitted,

(b)(6) (b)(7)(C)

SOFTWARE TOOLS

Smartcard Commander - Embedded Device Forensics
CelleBrite - Cell Phone Forensics
FTK - Forensic Tool Kit
Encase - Encase

COMMENTS: NO SOFTWARE COMMENTS PROVIDED

HARDWARE TOOLS

UFED (CelleBrite) - Cell Phone Forensics
SIM Card Reader - Embedded Device Forensics
Ultra Toolkit - All Writeblock Devices in the Ultra Toolkit

COMMENTS: NO HARDWARE COMMENTS PROVIDED

CASE COMPUTERS**COMPUTER DETAIL:**

MODEL: Acer Aspire

ACQUIRED BY: SA (b)(6) (b)(7)(C)

SERIAL NO: LUSAX0D0010011001E1801

ACQUIRED DT: 02/25/2011

START DATE: 02/25/2011

END DATE: 05/20/2011

PROCESSED BY: SA (b)(6) (b)(7)(C)

HARD DRIVE(S) DETAILS:**MODEL**

Hitachi HTS545016B9A3

SERIAL NUMBER

091208PB5806QcE

SIZE

160.00 G

HD COMMENTS:

COMPUTER DETAIL:

MODEL: Apple iMac A1311

ACQUIRED BY: SA (b)(6) (b)(7)(C)

SERIAL NO: W8025AXGD87

ACQUIRED DT: 02/11/2011

START DATE: 02/11/2011

END DATE: 05/20/2011

PROCESSED BY: SA (b)(6) (b)(7)(C)

HARD DRIVE(S) DETAILS:**MODEL**

Imaged by TDM

SERIAL NUMBER

Imaged by TDM

SIZE

1.00 T

HD COMMENTS:

EMBEDDED TECHNOLOGY

(b)(6) (b)(7)(C)

Office: BOSTON FIELD OFFICE Agent Phone: (b)(6) (b)(7)(C)

Approved by CID on 07/27/2011

CASE NUMBER: 102-866-0080071

TOTAL MEDIA PROCESSED: 9.30 TB

EMBEDDED TECHNOLOGY

MODEL: Nokia 2320

ACQUIRED BY: SA (b)(6) (b)(7)(C)

SERIAL NO: 012185001723274

ACQUIRED DT: 02/14/2011

START DATE: 02/14/2011

END DATE: 05/20/2011

PROCESSED BY: SA (b)(6) (b)(7)(C)

STORAGE DETAILS:

DESCRIPTION	SERIAL NUMBER	SIZE
Internal Memory	Internal Memory	32.00 M

HD COMMENTS:

EMBEDDED TECHNOLOGY

MODEL: HTC G2

ACQUIRED BY: SA (b)(6) (b)(7)(C)

SERIAL NO: 359116031982626

ACQUIRED DT: 02/16/2011

START DATE: 02/16/2011

END DATE: 05/20/2011

PROCESSED BY: SA (b)(6) (b)(7)(C)

STORAGE DETAILS:

DESCRIPTION	SERIAL NUMBER	SIZE
Internal Memory	Internal Memory	4.00 G

HD COMMENTS:

LOOSE DRIVES

(b)(6) (b)(7)(C)

Office: BOSTON FIELD OFFICE Agent Phone:

(b)(6) (b)(7)(C)

Approved by CID on 07/27/2011

CASE NUMBER: 102-566-0060071

TOTAL MEDIA PROCESSED: 9.30 TB

MODEL:WD20EARS

SERIAL NUMBER:WD-VMAZA1626675

SIZE:2.00 T

ACQUIRED BY:SA (b)(6) (b)(7)(C)

ACQUIRED DATE: 02/28/2011

PROCESSED BY:SA

EXAM START:02/28/2011

EXAM END: 05/20/2011

COMMENTS:None specified

MODEL:Western Digital WD1200JS

SERIAL NUMBER:WD-WMANN1006724

SIZE:120.00 G

ACQUIRED BY:SA (b)(6) (b)(7)(C)

ACQUIRED DATE: 02/11/2011

PROCESSED BY:SA

EXAM START:02/11/2011

EXAM END: 05/20/2011

COMMENTS:None specified

MODEL:Samsung HD154UI

SERIAL NUMBER:S1Y6J1CZ800326

SIZE:1.50 T

ACQUIRED BY:SA (b)(6) (b)(7)(C)

ACQUIRED DATE: 06/25/2011

PROCESSED BY:SA

EXAM START:06/27/2011

EXAM END: 07/07/2011

COMMENTS:None specified

MODEL:Samsung HD154UI

SERIAL NUMBER:S1Y6J1CZ800329

SIZE:1.50 T

ACQUIRED BY:SA (b)(6) (b)(7)(C)

ACQUIRED DATE: 06/27/2011

PROCESSED BY:SA

EXAM START:06/27/2011

EXAM END: 07/07/2011

COMMENTS:None specified

(b)(6) (b)(7)(C)

Office: BOSTON FIELD OFFICE Agent Phone

(b)(6) (b)(7)(C)

Approved by CID on 07/27/2011

CASE NUMBER: 102-866-0060071

TOTAL MEDIA PROCESSED: 9.30 TB

MODEL: Samsung HD154UI

SERIAL NUMBER: S1Y6J1CZ800331

SIZE: 1.50 T

ACQUIRED BY: SA (b)(6) (b)(7)(C)

PROCESSED BY: SA

ACQUIRED DATE: 06/23/2011

EXAM START: 06/23/2011

EXAM END: 07/07/2011

COMMENTS: None specified

MODEL: Samsung HD154UI

SERIAL NUMBER: S1Y6J1CZ800332

SIZE: 1.50 T

ACQUIRED BY: SA (b)(6) (b)(7)(C)

PROCESSED BY: SA

ACQUIRED DATE: 06/22/2011

EXAM START: 06/22/2011

EXAM END: 07/07/2011

COMMENTS: None specified

CASE MEDIA

CATEGORY
Sim Card (Cell Phones) - Cell Phones
Secure Digital Card
USB Thumb Drives

QTY	NUM	SIZE
2	64.0	KB
1	8.0	GB
2	8.0	GB

CASE CREDIT

HARD DRIVE CREDIT: 8
MEDIA CREDIT: 3
E-TECH CREDIT: 2
TOTAL CREDIT: 13

EXAM RESULTS

EVIDENCE FOUND: YES

AUTHORITY: Warrant

COMMENTS: NO COMMENTS PROVIDED

(b)(6) (b)(7)(C)

Office: BOSTON FIELD OFFICE Agent Phone

(b)(6) (b)(7)(C)

Approved by CID on 07/27/2011

Inspection Division

Criminal Investigations Case Review Worksheet – Section 8

J-Case: OPEN: ☒ CLOSED: ICR: (Appropriate Use: Yes No)

File Number 102-775-60071-5

Case Agent (b)(7)(C) (b)(7)(D)

Case Title Aaron Swartz

Secondary Case Type YES ☒ NO

Date Assigned: 4/4/11

1st Report Due 4/4/11

Amt of Loss \$

	(TICKLER DATE)	(DATE SUBMITTED)		
1st Report	<u>4/4/11</u>	<u>1/21/11</u>	<u>On Time</u>	Days Late <u> </u>
2nd Report	<u>4/21/11</u>	<u>2/28/11</u>	<u>On Time</u>	Days Late <u> </u>
3rd Report	<u>6/29/11</u>	<u>6/29/11</u>	<u>On Time</u>	Days Late <u> </u>
4th Report	<u>9/27/11</u>	<u>8/1/11 (NOFFA)</u>	<u>On Time</u>	Days Late <u> </u>
5th Report	<u>11/29/11</u>	<u>11/29/11</u>	<u>On Time</u>	Days Late <u> </u>
6th Report	<u>2/27/12</u>	<u>2/27/12</u>	<u>On Time</u>	Days Late <u> </u>
7th Report	<u>5/28/12</u>	<u> </u>	<u>On Time</u>	Days Late <u> </u>
8th Report	<u> </u>	<u> </u>	<u>On Time</u>	Days Late <u> </u>
Report	<u> </u>	<u> </u>	<u>On Time</u>	Days Late <u> </u>
Report	<u> </u>	<u> </u>	<u>On Time</u>	Days Late <u> </u>

Total M/Rs Total M/Rs Late Total Days Late

All Leads Explored: YES NO Reports: Accurate Clear Detailed Complex Community Impact AF

Date of Arrest	<u>7.19.11</u>	Date of Official Message	<u>8.1.11</u>	On Time	Days Late <u> </u>	F <input checked="" type="checkbox"/> S <u> </u>
Date of Arrest	<u> </u>	Date of Official Message	<u> </u>	On Time	Days Late <u> </u>	F <u> </u> S <u> </u>
Date of Arrest	<u> </u>	Date of Official Message	<u> </u>	On Time	Days Late <u> </u>	F <u> </u> S <u> </u>
Date of Arrest	<u> </u>	Date of Official Message	<u> </u>	On Time	Days Late <u> </u>	F <u> </u> S <u> </u>
Date of Arrest	<u> </u>	Date of Official Message	<u> </u>	On Time	Days Late <u> </u>	F <u> </u> S <u> </u>

Total Arrest Messages: Total Arrest Messages Late Total Days Late

Date of Plant Suppression	<u> </u>	Date of Official Message	<u> </u>	On Time	Days Late <u> </u>
Date of Plant Suppression	<u> </u>	Date of Official Message	<u> </u>	On Time	Days Late <u> </u>
Date of Plant Suppression	<u> </u>	Date of Official Message	<u> </u>	On Time	Days Late <u> </u>

Total Plant Sup Messages Total Plant Sup Messages Late Total Days Late:

Criminal Investigations Case Review Worksheet - Section 8

Page 2

Date of Intercept: _____	Date of Official Message: _____	On Time _____	Days Late _____	T _____	V _____
Date of Intercept: _____	Date of Official Message: _____	On Time _____	Days Late _____	T _____	V _____
Date of Intercept: _____	Date of Official Message: _____	On Time _____	Days Late _____	T _____	V _____
Date of Intercept: _____	Date of Official Message: _____	On Time _____	Days Late _____	T _____	V _____
Total Intercept Messages: _____		Total Intercept Messages Late _____		Total Days Late _____	T _____ V _____

AUSA

Prosecution Federal _____ State _____ Juvenile _____ NA _____

Date of AUSA Declination _____ Letter in File: Yes _____ No _____ N/A _____ (Required for State Prosecution)

Blanket Letter Yes _____ No _____ OR In Report _____ (Required for State Prosecution)

Date of AUSA Currency Retention Letter _____ Letter in File: Yes _____ No _____ N/A _____

SUSPECTS / DEFENDANTS

SSF 1599 ☒ Photographs _____ Fingerprints _____ Palm prints _____ FBI R-84 _____ Subject Statements _____

CIC Criminal History _____ State Records _____ ISD Data Searches _____ FSD Exam _____

Institutional Rights: Verbal _____ Written _____ Waived _____ Consent to Search: Verbal _____ Written _____

Defendants/Suspects listed in subsequent reports: YES _____ NO _____

Laron Swartz

(b)(6) (b)(7)(C)

EVIDENCE / PERSONAL PROPERTY

SSF 1544 ☒ SSF 3051 _____

Disposition of Evidence YES _____ NO _____

Statement of Destruction YES _____ NO _____

J-CASE REQUIREMENTS

J-Case Requirements Complete YES _____ NO _____

SSF 1599A _____ Case Report _____ Raid Plan _____

Investigative Notes in File YES _____ NO _____

EO: CI _____ Confidential Fund _____ UC _____ Surveillance _____ Polygraph _____ ECSAP _____

Content and Quality of Reports _____
